Joint Estimation of Angle, Doppler and Polarization Parameters for Narrowband MIMO Multipath Channels Using Polarization Sensitive Antenna Arrays

RAMONI ADEOGUN Victoria University of Wellington School of Engineering and Computer Science Kelburn, Wellington NEW ZEALAND ramoni.adeogun@ecs.vuw.ac.nz

Abstract: A novel subspace based joint angle of arrival (AOA), angles of departure (AOD), Doppler shifts and polarization states parameter estimation scheme for polarized two-dimensional (2D) double directional MIMO multipath channels is proposed in this paper. A narrowband system with non-polarized uniform linear array at the transmitter and cross-polarized antenna array at the receiver is considered. The proposed algorithm perform a simple transformation on the estimated channel state information (CSI) matrix in such a manner that multidimensional ESPRIT can be utilized to exploit the translational invariance in the angle, Doppler and polarization dimensions. Simulation results show that the proposed algorithm can accurately estimate the AOA, AOD, Doppler shifts and polarization angles of the multipath channel even for closely spaced scattering sources.

Key-Words: Polarization, MIMO, Multidimensional ESPRIT, Multipath Parameter Estimation

1 Introduction

Mobile MIMO wireless communication systems using polarized antenna arrays at the transmitter and/or receiver have received considerable research attentions recently. Since polarization diversity reduce the spatial correlation between antennas [1], more antennas can be deployed within the limited space. Thus, polarized MIMO systems offer several potential benefits (e.g increased capacity, space and cost efficiency and improved parameter estimation accuracy) when compared with classical uni-polarized MIMO systems.

In order to characterize the performance of MIMO systems with polarization sensitive antenna arrays, several channel models have been proposed which account for polarization in both 2D (e.g 3GPP SCM [2], WINNER phase II [3]) and three-dimension (see e.g [4], [5], [6]). The receiver and/or scatterers movement in the propagation environment make the multipath channel time-variant. However, the parameters of the channel such AOA, AOD, delay, Doppler shifts, and polarization angles exhibit very slow variation when compared with the actual channel. These parameters can therefore be considered quasistatic

over a sufficiently long time interval.

Estimation of multipath parameters such as AOA, AOD, delay, Doppler shifts and polarization parameters is a key problem for several applications including sonar, radar, wireless communications, localization and wireless intelligent networks [7]. Although several algorithms have been proposed for the estimation of AOAs, DOAs and Doppler shifts from received signal at the mobile station antenna array, there exists very few results on the estimation of angle of departure (AOD) and polarization parameters. In [8], a method for joint angle and delay estimation (JADE) was proposed to jointly estimate the AOA and DOA of narrowband multipath sources. A similar method exploiting the rotational invariance structure in a collection of space-time estimates was also proposed in [9]. The authors in [10] proposed a Multiple Signal Classification (MUSIC) [11] based approach for the joint estimation of AOA, AOD and DOA in narrowband MIMO channels. The methods in [8] and [10] exploit the eigen-structure of the channel covariance matrix. The parameters are however estimated via a 2D search of the peaks of the MUSIC spectrum making the algorithms computationally intensive. In [12], a method based on the classical beamforming techniques was proposed to jointly estimate the AOA, AOD and DOA of multipath sources in narrowband MIMO systems. A 2D unitary ESPRIT based method for joint AOA and AOD estimation was proposed in [13].

Estimation of Signal Parameters via Rotational Invariance Techniques (ESPIRIT) [14] is a computationally efficient and high resolution parameter estimation algorithm. It eliminates the spectral search requirement in other subspace methods and does not require explicit knowledge of the array geometry. Using the idea of multiple rotational invariance [15], [16], we develop a novel algorithm for the joint estimation of AOA, AOD, Doppler shifts and polarization angles of propagation paths in narrowband MIMO propagation environments.

The rest of the paper is organised as follows. The system model is presented in Section 2. The double directional polarized multipath MIMO model is discussed in Section 3. In Section 4, we develop the proposed joint parameter estimation algorithm. The simulation results and performance analysis are presented in Section 5. Finally conclusions are drawn in Section 6.

2 System Model

Consider a narrowband MIMO system with M nonpolarized uniform linear array (ULA) antenna elements at the transmitter and N cross-polarized antenna elements at the mobile station (MS). The received baseband signal at the MS can be expressed as

$$\mathbf{r}(t) = \mathbf{H}(t)\mathbf{s}(t) + \mathbf{v}(t) \tag{1}$$

where $\mathbf{r}(t) = [r_1(t), r_2(t), \cdots, r_{2N}(t)]^T \in \mathbb{C}^{2N \times 1}$ is the received signal vector, $\mathbf{s}(t) = [s_1(t), s_2(t), \cdots, r_M(t)]^T \in \mathbb{C}^{M \times 1}$ is the transmitted signal vector on the M transmit antennas, $\mathbf{v}(t) = [v_1(t), v_2(t), \cdots, v_{2N}(t)]^T \in \mathbb{C}^{2N \times 1}$ is the zero mean complex Gaussian receive noise vector and $\mathbf{H}(t) \in \mathbb{C}^{2N \times M}$ is the polarized MIMO impulse response matrix. Assuming L pilot training symbols $[\mathbf{p}(1), \mathbf{p}(2), \cdots, \mathbf{p}(L)]$ each of length K is transmitted on each of the M transmit antenna during the L symbol durations. Assuming that the channel is invariant over each symbol duration, the received pilot symbol is given by

$$\mathbf{Y}(\ell) = [\mathbf{y}_1(\ell), \cdots, \mathbf{y}_K(\ell)]; \quad \forall \ell = 1, 2, \cdots, L$$
$$= \mathbf{H}(\ell) \mathbf{S}_p(\ell) + \mathbf{V}(\ell)$$
(2)

where $\mathbf{S}_p(\ell) = [\mathbf{p}_1(\ell), \cdots, \mathbf{p}_K(\ell)] \in \mathbb{C}^{2N \times K}$ is the pilot signal matrix for the ℓ th symbol duration, $\mathbf{V}(\ell) = [\mathbf{v}_1(\ell), \cdots, \mathbf{v}_K(\ell)] \in \mathbb{C}^{2N \times K}$ is the noise matrix and the channel matrix $\mathbf{H}(\ell)$ is defined as

$$\mathbf{H}(\ell) = \begin{bmatrix} h_{1,1} & h_{1,2} & \cdots & h_{1,M} \\ h_{2,1} & h_{2,2} & \cdots & h_{2,M} \\ \vdots & \vdots & \ddots & \vdots \\ h_{2N,1} & h_{2N,1} & \cdots & h_{2N,M} \end{bmatrix} \in \mathbb{C}^{2N \times M}$$
(3)

The Least square (LS) channel estimation method can be applied on (2) to find the pilot channel estimates $\hat{\mathbf{H}}$ which minimizes the objective function

$$\begin{aligned} \mathbf{G}(\hat{\mathbf{H}}(\ell)) &= ||\mathbf{Y}(\ell) - \hat{\mathbf{H}}(\ell)\mathbf{S}_{p}(\ell)||^{2} \quad \forall \ell = 1, 2, \cdots, L \\ &= \left(\mathbf{Y}(\ell) - \hat{\mathbf{H}}(\ell)\mathbf{S}_{p}(\ell)\right)^{H} \left(\mathbf{Y}(\ell) - \hat{\mathbf{H}}(\ell)\mathbf{S}_{p}(\ell)\right) \\ &= \mathbf{Y}^{H}(\ell)\mathbf{Y}(\ell) - \mathbf{Y}^{H}(\ell)\hat{\mathbf{H}}(\ell)\mathbf{S}_{p}(\ell) \\ &- \mathbf{S}_{p}^{H}\hat{\mathbf{H}}^{H}(\ell)\mathbf{Y}(\ell) \\ &+ \mathbf{S}_{p}^{H}(\ell)\hat{\mathbf{H}}^{H}(\ell)\hat{\mathbf{H}}(\ell)\mathbf{S}_{p}(\ell) \end{aligned}$$
(4)

where $[.]^H$ denotes the Hermitian conjugate transpose. Differentiating (4) and equating to zero gives the pilot channel estimates as

$$\hat{\mathbf{H}}(\ell) = \left(\mathbf{S}_{p}^{H}(\ell)\mathbf{S}_{p}(\ell)\right)^{-1}\mathbf{S}_{p}^{H}(\ell)\mathbf{Y}(\ell) \qquad \ell = 1, 2, L$$
$$= (\mathbf{S}_{p}(\ell))^{\dagger}\mathbf{Y}(\ell) \qquad (5)$$

where $(.)^{\dagger}$ denotes the Moore-penrose psudoinverse.

3 Double Directional Polarized MIMO Model

The *p*th propagation path can be characterized by delay τ_p , angle of arrival (AOA) θ_p , angle of departure (AOD) ϕ_p , Doppler frequency ν_p , polarization angles (φ_p, ε_p) and complex amplitude α_p . Assuming that the multipath parameters are constant over the region of interest, the double direction MIMO impulse response can be modelled as

$$\mathbf{H}(\ell) = \sum_{p=1}^{P} \alpha_p \mathbf{a}_r(\theta_p, \varphi_p, \varepsilon_p) \mathbf{a}_t^T(\phi_p) \exp(j2\pi\ell\Delta t\nu_p)$$
(6)

where ℓ denotes the sample index, Δt is the time domain sampling interval, $\nu_p = \frac{V}{\lambda} \cos(\theta_p - \theta_v)$, θ_v is the mobile station direction, $a_t(\phi_p)$ is the $N \times 1$ transmit array steering vector in the direction of a plane

wave departing with ϕ_p and $\mathbf{a}_r(\theta_p, \varphi_p, \varepsilon_p)$ denotes the $2M \times 1$ polarization dependent receive array steering vector. For a ULA of omnidirectional antennas spaced δr apart, the receive steering vector is defined as

$$\mathbf{a}_{r}(\theta_{p},\varphi_{p},\varepsilon_{p}) = \begin{bmatrix} 1\\ z_{p}\\ z_{p}^{2}\\ \vdots\\ z_{p}^{M-1} \end{bmatrix} \otimes \begin{bmatrix} -\cos\vartheta_{p}\\ \sin\vartheta_{p}\cos\theta_{p}\exp(j\varsigma_{p}) \end{bmatrix}$$
(7)

where $z_p = \exp(-j2\pi\delta r \sin\theta_p)$ and the angles ϑ_p and ς_p denotes the re-parametrization of the polarization on the Poincare sphere. Details of the relation between the ellipticity angle φ_p , tilt angle ε_p and ϑ_p , ς_p can be found in [17]. The transmit array steering vector is similarly defined for a ULA as

$$\mathbf{a}_{t}(\phi_{p}) = \begin{bmatrix} 1\\ \exp(-j2\pi\delta t\sin\phi_{p})\\ \vdots\\ \exp(-j2(M-1)\pi\delta t\sin\phi_{p}) \end{bmatrix}$$
(8)

where δt is the transmit antenna spacing in wavelengths.

4 Joint Parameter Estimation

Applying a vectorization operation to the L pilot channel estimates in (5), we obtain the $2NM \times L$ matrix

$$\mathcal{H} = [\operatorname{vec}(H(1)), \operatorname{vec}(H(2)), \cdots, \operatorname{vec}(H(L))] \quad (9)$$

where vec(A) denotes the vectorization operation which stacks the columns of **A**. By sliding a $2NM \times R$ window through the matrix in (9) and performing the vectorizing the resulting matrix, we obtain

$$\mathbf{h}(s) = \operatorname{vec}(\mathcal{H}(:, s : R + s)) \ s = 1, 2, \cdots, S$$
 (10)

where S = L - R + 1. Using (7) and the vectorization in (10), it can be shown that (10) can be expressed as

$$\mathbf{h}(s) = \mathbf{Z}_s(\theta, \phi, \varphi, \varepsilon, \nu) \boldsymbol{\alpha}$$
(11)

where $\mathbf{Z}_{s}(\theta, \phi, \varphi, \varepsilon, \nu) = [\mathbf{a}_{r}(\theta_{1}, \varphi_{1}, \varepsilon_{1}) \otimes \mathbf{a}_{t}(\phi_{1}) \otimes \mathbf{a}_{d}^{s}(\nu_{1}), \cdots, \mathbf{a}_{r}(\theta_{P}, \varphi_{P}, \varepsilon_{P}) \otimes \mathbf{a}_{t}(\phi_{P}) \otimes \mathbf{a}_{d}^{s}(\nu_{P})] \in \mathbb{C}^{2NMR \times P}$ is the space-time-polarization manifold matrix, $\boldsymbol{\alpha} = [\alpha_{1}, \alpha_{2}, \cdots, \alpha_{P}]^{T} \in \mathbb{C}^{P \times 1}$ is a vector

containing the complex amplitudes. The time domain manifold vector \mathbf{a}_d^s is defined as

$$\mathbf{a}_{d}^{s}(\nu_{p}) = [u_{p}^{(s-1)}, u_{p}^{(s)}, \cdots, u_{p}^{(s+R-1)}]^{T}$$
(12)

where $u_p = \exp(j2\pi\Delta t\nu_p)$. In the presence of estimation noise, the covariance matrix estimate is defined as

$$\hat{\mathbf{C}} = \frac{1}{S} \sum_{s=1}^{S} \hat{\mathbf{h}}(s) \hat{\mathbf{h}}(s)^{H}$$
$$= \mathbf{Z}_{s}(\theta, \phi, \varphi, \varepsilon, \nu) \mathbf{R}_{\alpha \alpha} \mathbf{Z}_{s}^{H}(\theta, \phi, \varphi, \varepsilon, \nu) + \sigma^{2} \mathbf{I}$$
(13)

where $[.]^H$ denotes the Hermitian transpose, $\mathbf{R}_{\alpha\alpha} = \frac{1}{S} \sum_{s=1}^{S} \alpha \alpha^H$ and σ^2 is the noise variance. Let \mathbf{U}_s be the signal subspace eigenvectors corresponding to the *P* largest eigenvalues of $\hat{\mathbf{C}}$. Since $\hat{\mathbf{U}}_s$ and \mathbf{Z} span the same signal subspace, the invariance structure [14] in \mathbf{Z} can be exploited to estimate the five parameters by applying an ESPRIT-like procedure on \mathbf{U}_s . This require a set of selection matrices defined as

$$\begin{aligned} \mathbf{J}_{1r} &= \begin{bmatrix} \mathbf{I}_{(N-1)} & \mathbf{0}_{(\mathbf{N}-1)} \end{bmatrix} & \mathbf{J}_{r1} &= \mathbf{I}_M \otimes \mathbf{I}_R \otimes \mathbf{I}_2 \otimes \mathbf{J}_{1r} \\ \mathbf{J}_{2r} &= \begin{bmatrix} \mathbf{0}_{(N-1)} & \mathbf{I}_{(\mathbf{N}-1)} \end{bmatrix} & \mathbf{J}_{r2} &= \mathbf{I}_M \otimes \mathbf{I}_R \otimes \mathbf{I}_2 \otimes \mathbf{J}_{2r} \\ \mathbf{J}_{1t} &= \begin{bmatrix} \mathbf{I}_{(M-1)} & \mathbf{0}_{(\mathbf{M}-1)} \end{bmatrix} & \mathbf{J}_{t1} &= \mathbf{I}_R \otimes \mathbf{I}_2 \otimes \mathbf{J}_{1t} \otimes \mathbf{I}_N \\ \mathbf{J}_{2t} &= \begin{bmatrix} \mathbf{0}_{(M-1)} & \mathbf{I}_{(\mathbf{M}-1)} \end{bmatrix} & \mathbf{J}_{t2} &= \mathbf{I}_R \otimes \mathbf{I}_2 \otimes \mathbf{J}_{2t} \otimes \mathbf{I}_N \\ \mathbf{J}_{1d} &= \begin{bmatrix} \mathbf{I}_{(R-1)} & \mathbf{0}_{(\mathbf{R}-1)} \end{bmatrix} & \mathbf{J}_{d1} &= \mathbf{I}_2 \otimes \mathbf{J}_{1d} \otimes \mathbf{I}_N \otimes \mathbf{I}_M \\ \mathbf{J}_{2d} &= \begin{bmatrix} \mathbf{0}_{(R-1)} & \mathbf{I}_{(\mathbf{R}-1)} \end{bmatrix} & \mathbf{J}_{d2} &= \mathbf{I}_2 \otimes \mathbf{J}_{2d} \otimes \mathbf{I}_N \otimes \mathbf{I}_M \\ \mathbf{J}_{1p} &= \begin{bmatrix} \mathbf{I}_{(2-1)} & \mathbf{0}_{(2-1)} \end{bmatrix} & \mathbf{J}_{p1} &= \mathbf{J}_{1p} \otimes \mathbf{I}_N \otimes \mathbf{I}_M \otimes \mathbf{I}_R \\ \mathbf{J}_{2p} &= \begin{bmatrix} \mathbf{0}_{(2-1)} & \mathbf{I}_{(2-1)} \end{bmatrix} & \mathbf{J}_{p2} &= \mathbf{J}_{2p} \otimes \mathbf{I}_N \otimes \mathbf{I}_M \otimes \mathbf{I}_R \\ \mathbf{I}_{4} &= \begin{bmatrix} \mathbf{I}_{(4)} & \mathbf{I}_M \otimes \mathbf{I}_M \otimes \mathbf{I}_R \\ \mathbf{I}_{4} &= \begin{bmatrix} \mathbf{I}_{(4)} & \mathbf{I}_M \otimes \mathbf{I}_R \end{bmatrix} \end{aligned}$$

where the subscript indices r, t, d, p denotes receive, transmit, Doppler and polarization respectively, \mathbf{I}_b is an $b \times b$ identity matrix and $\mathbf{0}_b \in \mathbf{R}^b$ is a vector of zeros. The invariance equations in each of the four dimensions can therefore be defined as

$$\mathbf{J}_{r2}\mathbf{U}_{s} = \mathbf{J}_{r1}\mathbf{U}_{s}\mathbf{\Theta}
\mathbf{J}_{t2}\mathbf{U}_{s} = \mathbf{J}_{t1}\mathbf{U}_{s}\mathbf{\Phi}
\mathbf{J}_{d2}\mathbf{U}_{s} = \mathbf{J}_{d1}\mathbf{U}_{s}\mathbf{N}
\mathbf{J}_{p2}\mathbf{U}_{s} = \mathbf{J}_{p1}\mathbf{U}_{s}\mathbf{T}$$
(15)

Since U_s and Z_s are rotated forms of each other lying in the same signal subspace, it can be easily shown that eigendecomposition of the matrices Θ , Φ , N and T give information about the desired parameters. The equations in (15) can be solved for these matrices using the Least Square (LS) method as

$$\Theta = ((\mathbf{J}_{r2}\mathbf{U}_s)^H (\mathbf{J}_{r2}\mathbf{U}_s))^{-1} (\mathbf{J}_{r2}\mathbf{U}_s)^H (\mathbf{J}_{r1}\mathbf{U}_s)$$

$$\Phi = ((\mathbf{J}_{t2}\mathbf{U}_s)^H (\mathbf{J}_{t2}\mathbf{U}_s))^{-1} (\mathbf{J}_{t2}\mathbf{U}_s)^H (\mathbf{J}_{t1}\mathbf{U}_s)$$

$$\mathbf{N} = ((\mathbf{J}_{d2}\mathbf{U}_s)^H (\mathbf{J}_{d2}\mathbf{U}_s))^{-1} (\mathbf{J}_{d2}\mathbf{U}_s)^H (\mathbf{J}_{d1}\mathbf{U}_s)$$

$$\mathbf{T} = ((\mathbf{J}_{p2}\mathbf{U}_s)^H (\mathbf{J}_{p2}\mathbf{U}_s))^{-1} (\mathbf{J}_{p2}\mathbf{U}_s)^H (\mathbf{J}_{p1}\mathbf{U}_s)$$

(16)

Estimates of the AOAs, AODs, Doppler shifts and polarization angles can be obtained directly from the solutions of (16). In order to achieve automatic pairing of the estimates, we utilized a scheme similar to the mean eigenvalue decomposition (MEVD) pairing scheme [18]. Denoting

$$\Upsilon = \Theta + \Phi + \mathbf{N} + \mathbf{T}$$
$$= \Sigma \Lambda \Sigma^{-1}$$
(17)

where Σ denote the common eigenvector matrices in (16). The diagonal eigenvalue matrices are then obtained using

$$\boldsymbol{\Xi}_r = \boldsymbol{\Sigma}^{-1} \boldsymbol{\Theta} \boldsymbol{\Sigma} \tag{18a}$$

$$\boldsymbol{\Xi}_t = \boldsymbol{\Sigma}^{-1} \boldsymbol{\Phi} \boldsymbol{\Sigma} \tag{18b}$$

$$\mathbf{\Xi}_d = \mathbf{\Sigma}^{-1} \mathbf{N} \mathbf{\Sigma} \tag{18c}$$

$$\mathbf{\Xi}_n = \mathbf{\Sigma}^{-1} \mathbf{T} \mathbf{\Sigma} \tag{18d}$$

where $\Xi_r = \operatorname{eig}(\Theta)$, $\Xi_t = \operatorname{eig}(\Phi)$, $\Xi_d = \operatorname{eig}(N)$ and $\Xi_p = \operatorname{eig}(T)$. The AOA, AOD and Doppler shifts can respectivel be estimated from (18a), (18b) and (18c) as

$$\boldsymbol{\theta} = \sin^{-1} \left(-\frac{\arg(\operatorname{diag}(\boldsymbol{\Xi}_{\theta}))}{2\pi\delta r} \right)$$
$$\boldsymbol{\phi} = \sin^{-1} \left(-\frac{\arg(\operatorname{diag}(\boldsymbol{\Xi}_{\phi}))}{2\pi\delta t} \right)$$
$$\boldsymbol{\nu} = \left(\frac{\arg(\operatorname{diag}(\boldsymbol{\Xi}_{\nu}))}{\Delta t} \right)$$
(19)

In order to estimate the polarization angles, we define the ratio of the entries of the polarimetric vector in (7) for each propagation path as

$$e_p = \frac{-\cos\vartheta_p}{\sin\vartheta_p\cos\theta_p\exp(j\varsigma_p)}$$
(20)

Denoting $\mathbf{e} = [e_1, e_2, \cdots, e_P]$, it can be shown that (18d) gives an estimate of \mathbf{e} as

$$\hat{\mathbf{e}} = \operatorname{diag}(\mathbf{\Xi}_p)$$
 (21)

Using (21), (20) and estimates of the AOA, the polarization angles for each path are obtained as

$$\vartheta_p = \tan^{-1} \left(\left| \frac{1}{\hat{e}_p \cos \theta_p} \right| \right)$$
$$\varsigma_p = \arg \left(-\frac{1}{\hat{e}_p \cos \theta_p} \right) \tag{22}$$

Ramoni Adeogun

where |.| denote the absolute value of the associated scalar.

5 Simulation Results

In this section, we analyse the performance of the ES-PRIT based joint parameter estimation method. The estimation error of the algorithm is evaluated in terms of root mean square error (RMSE) criterion defined as

$$\text{RMSE}(\sigma) = \sqrt{\frac{1}{J} \sum_{j=1}^{J} |\hat{\sigma} - \sigma|^2}$$
(23)

where J denotes the number of Monte Carlo trials. We considered a MIMO system with 2 antenna elements and 2 pairs of polarization sensitive crossed dipoles at the transmitter and receive respectively. The mobile velocity V = 50 Kmph and 500 samples of the channel with sampling interval $\Delta t = 2$ ms is generated for each realization.

Case I: We consider a four-path channel model with parameters as specified in Table 1. The complex amplitudes of the paths is normally distributed (i.e $\alpha_p \sim \mathcal{N}(0.1)$)). Figures 1-5 show the root mean square error as a function of SNR for each of the parameters. As shown in the figures, the performance of proposed algorithm improves with increasing SNR. However, the RMSE in the low SNR region is within acceptable limits.

Case II: A more realistic channel consisting of ten propagation paths is considered in this stage. The RMSE error for each of the parameters is averaged over the paths. As shown in Figure 6, the algorithm is able to effectively resolve all the ten paths. This shows that the identifiability limit of the method is far beyond the number of antennas at both the transmit and receive end.

Case III: A more difficult scenario where the paths are closely spaced and share a common AOA and AOD is simulated. The AOA for all the paths are spaced $\frac{\pi}{100}$ apart and are equal to the AOD. The true and estimated values of the parameters are presented

E-ISSN: 2224-3488

Parameter Val.	Path 1	Path 2	Path 3	Path 4
$\theta(rad)$	0.9198	-1.4366	0.7540	-0.1295
$\phi(rad)$	-0.6080	-0.1726	0.1714	-1.0426
$\vartheta(rad)$	1.4437	0.4593	0.3788	0.1402
$\varsigma(rad)$	0.9384	2.6469	0.5443	0.2322
$\nu(rad/s)$	370.453	81.807	445.655	606.234

Table 1: Parameters of the four-path channel

in Table 2. As shown in the table, the parameters are accurately estimated. This shows that the scheme can achieved high resolution of parameter estimates even for closely spaced paths using the polarization diversity.



Figure 1: RMSE of AOA Estimation with the Proposed Scheme for a Four-Path Channel

6 Conclusion

We propose a novel ESPRIT based algorithm for the joint estimation of AOA, AOD, Doppler shifts and polarization parameters for MIMO wireless systems with polarization sensitive antenna array at the mobile station. The computationally efficient subspace based ESPRIT algorithm allows us to perform efficient multiple estimation of the five parameters using four dimensional algorithm. Simulation results show that the proposed algorithm can achieve super resolution estimation of channel parameters even for closely spaced scattering sources. Future work will analyse the performance of the proposed algorithm using measured



Figure 2: RMSE of Polarization parameter ϑ estimation with the Proposed Scheme for a Four-Path Channel

Table 2: Actual and Estimated Parameters at SNR = 5 dB for closely Space Paths

Parameter Val.		Path 1	Path 2	Path 3	Path 4
$\theta(rad)$	True	0.9404	0.9718	1.0033	1.0347
	Est.	0.9408	0.9728	1.0127	1.0323
$\phi(rad)$	True	0.9404	0.9718	1.0033	1.0347
	Est.	0.9402	0.9698	1.0024	1.0343
$\vartheta(rad)$	True	0.5965	1.0052	0.0459	1.0944
	Est.	0.5828	0.9948	0.0521	1.0972
$\varsigma(rad)$	True	2.1268	-0.9769	-2.2170	1.1960
	Est.	2.1289	-0.9874	-2.2556	1.2196
$\nu(rad/s)$	True	360.3611	344.6709	328.6406	312.2859
	Est.	360.3439	345.0274	326.3653	315.0009

channel data and more realistic correlated sensor noise assumption.



Figure 3: RMSE of polarization parameter ς with the Proposed Scheme for a Four-Path Channel



Figure 5: RMSE of Doppler shifts Estimation with the Proposed Scheme for a Four-Path Channel



Figure 4: RMSE of AOD Estimation with the Proposed Scheme for a Four-Path Channel



Figure 6: Averaged RMSE of Parameter Estimates with the Proposed Scheme for Ten-Path Channel

References:

- J. P. Kermoal, P. Mogensen, S. H. Jensen, J. B. Anderson, F. Frederiksen, T. Sorensen, and K. I. Pedersen, "Experimental investigation of multipath richness for multi-element transmit and receive antenna arrays," in *IEEE 51th VTC*, May 2000, pp. 2004–2008.
- [2] "Spatial channel model for multiple input multiple output (MIMO) simulations," 3GGP, TR 25.996, V7.0.0. 2007-06, Tech. Rep.
- [3] "IST-WINNER II Deliverable 1.1.2 v.1.2, WINNER II channel models," IST-WINNER2, Tech. Rep. [Online]. Available: (http://www.istwinner.org/deliverables.html)
- [4] M. Shafi, M. Zhang, A. L. Moustakas, P. J. Smith, A. F. Molisch, F. Tufvesson, and S. H. Simon, "Polarized MIMO Channels in 3D: Models, Measurements and Mutual Information," *IEEE J. Select. Areas Commun*, vol. 24, pp. 514– 527, 2006.
- [5] J. Wang, J. Zhao, and X. Gao, "Modeling and analysis of polarized MIMO channels in 3D propagation environment," in *IEEE 21st Int. Symposium on Personal Indoor and Mobile Radio Communications*, 2010, pp. 319–323.
- [6] H. Kanj, P. Lusina, S. M. Ali, and F. Kohandani, "A 3D-to-2D transform algorithm for incorporation 3D antenna radiation pattern in SCM," *IEEE Antenna and Wireless Propagation Letters*, vol. 8, pp. 815–818, 2009.
- [7] R. B. Ertel, P. Cardieri, K. W. Sowerby, T. S. Rappaport, and J. H. Reed, "Overview of spatial channel models for antenna array communication systems," *IEEE Personal Communications*, vol. 5, no. 1, pp. 10–22, Feb. 1998.
- [8] M. C. Vanderveen, B. C. Ng, C. B. Papadias, and A. Paulraj, "Joint Angle and Delay Estimation (JADE) for Signals in Multipath Environments," in *in Proc. 30th Asilomar Conf. Circuits, Syst. Comp*, 1996.
- [9] A.-J. van der Veen, M. C. Vanderveen, and A. Paulraj, "Joint angle and delay estimation using shift-invariance techniques," pp. 405–418, 1998.

- [10] J. Li, J. Conan, and S. Pierre, "Joint Estimation of Channel Parameters for MIMO Communication Systems," in 2nd Int. Symp. on Wireless Communications, sept 2005, pp. 22–26.
- [11] R. Schmidt, "Multiple emitter location and signal parameter estimation," *Antennas and Propagation, IEEE Transactions on*, vol. 34, no. 3, pp. 276–280, Mar 1986.
- [12] I. Chahbi and B. Jouaber, "A joint AOA, AOD and Delays Estimation of multipath signals based on Beamforming Techniques," in *Signals*, *Systems and Computers (ASILOMAR), Forty Fourth Asilomar Conference on*, Nov 2010, pp. 603–607.
- [13] H. Miao, M. J. Juntti, and K. Yu, "2-D Unitary ESPRIT Based Joint AOA and AOD Estimation for MIMO System," in *Proceedings* of the IEEE 17th International Symposium on Personal, Indoor and Mobile Radio Communications, PIMRC 2006, 11-14 September 2006, Helsiniki, Finland. IEEE, 2006, pp. 1–5.
- [14] R. Roy and T. Kailath, "Estimation of signal parameters via rotational invariance techniques," *IEEE Transactions on Acoustics, Speech, Signal Processing*, vol. 37, pp. 984–995, Jul 1989.
- [15] A. Swindlehurst, B. Ottersten, R. Roy, and T. Kailath, "Multiple invariance ESPRIT," *Trans. Sig. Proc.*, vol. 40, no. 4, pp. 867–881, Apr. 1992.
- [16] K. T. Wong and M. Zoltowski, "Closed-form multi-dimensional multi-invariance ESPRIT," in *ICASSP*, 1997, pp. 3489–3492.
- [17] J. Li and R. T. Compton, "Angle and polarization estimation using ESPRIT with a polarization sensitive array," *IEEE Trans. Antennas and Propagation*, vol. 39, pp. 1376–1383, Sept 1991.
- [18] N.Kikuma, H.Kikuchi, and N.Inagaki, "Pairing of Estimates Using Mean Eigenvalue Decomposition in Multi-Dimensional Unitary ESPRIT," *IEICE Trans*, vol. J82-B, no. 11, pp. 2202–2207, Nov 1999.