An Adaptive Nonlinear Backstepping Control of DFIG Driven by Wind Turbine

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Abstract:-In this paper we present a new control structure to extract the maximum power of a wind energy conversion system based on the double fed induction generator (DFIG). The proposed algorithm combines the nonlinear Backstepping approach and the field orientation scheme applied to control the rotor side converter (RSC) and the grid side converter (GSC) of the DFIG. The proposed strategy is asymptotically stable in the context of Lyapunov theory. Simulations results show interesting performances of the system in terms of the reference tracking stability and the robustness against parameters variations.

Key-words:-Double fed induction generator, nonlinear Backstepping control, Lyapunov approach, voltage oriented control.

1 Introduction

The wind turbines’ variable speed operation has been used for many reasons. Among these are the decrease of the stresses on the mechanical structure, acoustic noises reduction and the possibility of active and reactive power control. Most of the major wind turbine manufacturers are developing new larger wind turbines in the 3–6 MW range [1]. These large wind turbines are all based on variable speed operation using a direct-driven synchronous generator, without gearbox, or a doubly fed induction generator with gearbox. The main advantage of the DFIG is that the power electronics equipment only carries a fraction of the total power (20–30%) [2,3]; this means that the losses in the power electronics converters, as well as the costs, are reduced.

DFIG controlled by field oriented control (FOC) technique, have been widely used in industrial applications for their low cost, high reliability, power efficiency and easy maintenance. The DFIG are difficult to control for several reasons:
i) Their dynamics are intrinsically non-linear and multivariable;
ii) Not all of the state variables and not all of the outputs to be controlled may be available for feedback.

The concept of field oriented control strategy can be viewed as a non linear feedback transformation that achieves torque-flux decoupling technique; various improvements have been made for this kind of control which is based, in fact, on proportional- integral (PI) controller used to control speed, flux and currents. In many motion control applications, using PI-controller presents suitable performances. However, when the machine parameters variations are registered, due especially to the natural phenomena machine effects (temperature, saturation and skin effect); the PI control performances may be seriously deteriorated. Since the backstepping theory is a systematic and recursive design methodology for non linear feedback control, the derived algorithm backstepping, replacing the PI controller into FOC structure, can give improved tracking response. In the recent two decades, many modified non linear state feedback schemes such as input-output feedback linearization, passivity-based control and sliding mode control have been applied to more improve the induction generator control performances [4].

Especially in the pasts years, there has been tremendous amount of activity on a special control schemes know as “Backstepping” approaches. With these control algorithms, the feedback control laws are easily constructed and associated to Lyapunov functions.
In this paper, we have integrated the DFIG field oriented control on which the backstepping design will be applied. The idea of backstepping design is conducted to select recursively some appropriate functions of the state variables of the DFIG to be controlled. In this work, the speed and flux are assumed as pseudo-control inputs for lower dimension subsystems of the overall system [4].

This paper is organized as follows: In second section, we have presented a description of variable speed DFIG system. In third section we have modeled the wind generation system. We modeled in the first step the aerodynamic system and the DFIG in the second step. The fourth section is deals to the rotor side converter (RSC) control under backstepping. The fifth section is devoted to study the grid side converter (GSC) and DC-bus voltage using the FOC principle. In the last section, some simulation results are shown interesting obtained control performances of the DFIG in terms of the reference tracking ability and the robustness against parameters variations and we finish by a conclusion.

2 Variable-speed DFIG system

The studied system is composed by three-bladed rotor with a corresponding mechanical gearbox, a DFIG, two power converters, a DC-link capacitor, and a grid filter. Including the DFIG, the individual components of electrical subsystem including a transmission line are modeled in the dq-synchronous reference frame. Wherein, d-axis is assumed to be aligned to stator flux, and the current coming out of the generator is considered positive.

The DFIG controllers utilize the concept of disconnection of the active and reactive power controls by transformation of the machine parameters into the dq-reference frame. Then, the active power can be controlled by influencing the d-axis rotor current while the reactive power can be controlled by q-axis rotor current.

The stator of the DFIG is connected to the grid. The rotor is driven by the wind generator whose is adjusted for optimum performance resulting in a variable speed operation.

When the machine is driven below synchronous speed, the output power from the stator is equal to the sum of the mechanical power from the wind turbine and the ac power injected into the rotor. In this case, GSC works as a rectifier and RSC works as an inverter. When the machine is driven above synchronous speed, power is recovered from the rotor with RSC working as a rectifier GSC working as an inverter. Control scheme include reactive and active power control is given by figure 1.

3 Modeling of the wind generation system

3.1 Modeling of the wind turbine

The aerodynamic power turbine $P_t$ depends on the power coefficient $C_p$ as follows:

$$P_t = \frac{1}{2} C_p(\lambda, \beta) \rho \pi R^2$$

(1)

Where $\rho$ is the air density, $R$ is the blade radius, $\beta$ is the pitch angle and $V$ is the linear wind speed.

Figure 1: Wind energy conversion system
The turbine torque is given by:
\[ T_t = \frac{P_t}{\Omega_t} \] (2)

The turbine is normally coupled to the generator shaft through a gearbox whose gear ratio \( G \) is chosen in order to set the generator shaft speed within a desired speed range.

Neglecting the transmission losses, the torque \( T_g \) and the turbine speed \( \Omega_t \), in turbine side of the gearbox \( \Omega_{gt} \), are given by:
\[ T_g = \frac{T_t}{G} \] (3)
\[ \Omega_{gt} = \frac{\Omega_t}{G} \] (4)

A wind turbine can only convert a part of the total of the captured wind power. The power coefficient depends on the pitch angle of the turbine and the ratio \( \lambda \) between the turbine angular speed \( \Omega_t \) and the linear wind speed \( V \).

The expression generally used is given by following:
\[ C_p(\lambda, \beta) = c_1 (c_2 g(\lambda, \beta) - c_3 \beta - c_4) e^{-c_5 g(\lambda, \beta)} + c \] (5)

where:
\[ g(\lambda, \beta) = \frac{1}{\lambda + 0.08 \beta - \beta^3 + 1} \]
\[ C_i(i = 1,6) \] is given in Appendix.

The pitch angle \( \beta \) must be controlled to maintain the electric power constant. The characteristic resulting from measurements on a real wind shows that the pitch angle is fixed at 2 to maintain the power constant approximately at 660Kw. The regulation of the pitch is illustrated in figure 2.

![Figure 2: Regulation of the pitch angle by PI regulator](image)

According eq. (1), the peak power for each wind speed occurs at the point where \( C_p \) is maximized. To maximize the generated power, it is therefore desirable for the generator to have a power characteristic that will follow the maximum \( C_{p, max} \) line. The action of the speed controller must achieve two tasks [6]:

- It must control the mechanical speed \( \Omega \) in order to yet a speed reference \( \Omega_c \).
- It must attenuate the action of the aerodynamic torque, which is an input disturbance.

The simplified representation in the form of diagram blocks is given by figure 3. If the wind speed is measured and the mechanical characteristics of the wind turbine are known, it is possible to deduce in real time the optimal mechanical power which can be generated using the maximum power point tracking (MPPT). The optimal mechanical power and the optimal mechanical torque can be expressed as:
\[ P_{mec-opt} = \frac{1}{2} \rho \pi R^5 C_{p, max} \frac{\Omega^2}{\lambda_{opt}^2} \frac{1}{g^2} \] (6)
\[ T_{mec-opt} = \frac{1}{2} \rho \pi R^5 C_{p, max} \frac{\Omega^3}{\lambda_{opt}^2} \frac{1}{g^3} \] (7)
3.2 Modeling of the DFIG

The classical electrical equations of the DFIG in the Park frame are written as follows [7, 8]:

\[
\begin{align*}
\frac{d\Phi_{sd}}{dt} &= V_{sd} - R_s i_{sd} + \Phi_{sq} \omega_s \\
\frac{d\Phi_{sq}}{dt} &= V_{sq} - R_s i_{sq} - \Phi_{sd} \omega_s \\
\frac{d\Phi_{rd}}{dt} &= V_{rd} - R_r i_{rd} + \Phi_{rq} \omega_r \\
\frac{d\Phi_{rq}}{dt} &= V_{rq} - R_r i_{rq} - \Phi_{rd} \omega_r \quad \omega_r = \omega_s - \omega \\
\end{align*}
\] (8)

The stator and rotor flux can be expressed as:

\[
\begin{align*}
\Phi_{sd} &= L_s i_{sd} + M i_{rd} \\
\Phi_{sq} &= L_s i_{sq} + M i_{rq} \\
\Phi_{rd} &= L_r i_{rd} + M i_{sd} \\
\Phi_{rq} &= L_r i_{rq} + M i_{sq}
\end{align*}
\] (9)

Where \(i_{sd}, i_{sq}, i_{rd}\) and \(i_{rq}\) are respectively, the direct and quadrature stator and rotor currents

The stator and rotor active and reactive powers are given, respectively, by eq. (10) and eq. (11):

\[
\begin{align*}
P_s &= \frac{3}{2} (V_{sd} i_{sd} + V_{sq} i_{sq}) \\
Q_s &= \frac{3}{2} (V_{sq} i_{sq} - V_{sd} i_{sd}) \\
P_r &= \frac{3}{2} (V_{rd} i_{rd} + V_{rq} i_{rq}) \\
Q_r &= \frac{3}{2} (V_{rq} i_{rq} - V_{rd} i_{rd})
\end{align*}
\] (10) (11)

The electromagnetic torque is expressed as:

\[
T_{em} = \frac{3}{2} p \frac{M}{L_s} (i_{rd} \Phi_{sq} - i_{rq} \Phi_{sd})
\] (12)

4 Rotor side converter under non-linear backstepping control

The Backstepping control approach for the mechanical speed regulation and the flux generation can be better applied to replace the traditional non linear feedback (proportional-integral) PI control of the field oriented control technique for better performance.

4.1 Model description

In the stationary reference frame of the DFIG operate under FOC principle can be written under state form:

\[
\begin{align*}
\frac{di_{rd}}{dt} &= \frac{1}{\sigma L_r} V_{rd} - \delta i_{rd} + \alpha R_s \Phi_{sd} + \beta V_{sd} + \omega_r i_{rq} \\
\frac{di_{rq}}{dt} &= \frac{1}{\sigma L_r} V_{rq} - \gamma i_{rq} - \beta \omega_r \Phi_{sd} - \omega_r i_{rd} \\
\frac{d\Phi_{sd}}{dt} &= -V_{sd} - \frac{R_s}{L_s} \Phi_{sd} + \frac{R_r}{L_s} i_{rd} \\
\frac{d\Phi_{sq}}{dt} &= \frac{R_r}{L_s} i_{rq} - \Phi_{rd} \omega_r \\
\frac{d\Phi_{rd}}{dt} &= \frac{R_r}{L_s} i_{rq} - \Phi_{rd} \omega_r \\
\frac{d\Phi_{rq}}{dt} &= \frac{R_r}{L_s} i_{rq} - \Phi_{rd} \omega_r
\end{align*}
\] (13) (14) (15)

The electromagnetic torque is given by the following expression:

\[
T_{em} = -\mu \Phi_{sd} i_{rq}
\] (14)

Where the state variables are the rotor currents \((i_{rd}, i_{rq})\), the stator flux \((\Phi_{sd})\) and the mechanical speed \(\Omega\). The rotor voltages \((V_{rd}, V_{rq})\) are considered as the control variables. With the constants defined as:

\[
\delta = \frac{R_r L_s^2 + M^2 \Omega}{\sigma L_s L_r^2}, \alpha = \frac{M}{\sigma L_s i_{sq}}, \beta = \frac{M}{\sigma L_r i_{rq}}, \gamma = \frac{R_r}{\sigma L_r}, \mu = \frac{3}{2} \frac{p M}{L_s}
\]

Accounting for eq. (14), the DFIG equations given by eq. (13) turn to be:

\[
\begin{align*}
\frac{d\Phi_{sd}}{dt} &= b_1 \\
\frac{d\Phi_{sq}}{dt} &= b_2 \\
\frac{di_{rd}}{dt} &= b_3 + \frac{1}{\sigma L_r} V_{rd} \\
\frac{di_{rq}}{dt} &= b_4 + \frac{1}{\sigma L_r} V_{rq}
\end{align*}
\] (15)

Where the different functions \(b_1\) to \(b_4\) are expressed as:

\[
\begin{align*}
b_1 &= \frac{\tau_r}{j} - \frac{L}{j} \Omega + \mu \frac{1}{j} \Phi_{sd} i_{rq} \\
b_2 &= -V_{sd} - \frac{R_s}{L_s} \Phi_{sd} + \frac{R_r}{L_s} i_{rd} \\
b_3 &= -\delta i_{rd} + \alpha R_s \Phi_{sd} + \beta V_{sd} + \omega_r i_{rq} \\
b_4 &= -\gamma i_{rq} - \beta \omega_r \Phi_{sd} - \omega_r i_{rd}
\end{align*}
\] (16)

The DFIG system, given by eq.(15), will be approximately decomposed in two decoupled subsystems. Hence, they are considered \((\Omega, i_{rq})\) as state variables and \(V_{rq}\) as input command for the first subsystem, while \((\Phi_{sd}, i_{rd})\) as state variables and \(V_{rd}\) as input command for the second subsystem. The subsystem structure will be fully exploited in the backstepping control design as detailed in the next.
4.2 Control design

The basic idea of the Backstepping design is the use of the so-called “virtual control” to systematically decompose a complex nonlinear control design problem into simpler, smaller ones. Roughly speaking, Backstepping design is divided into various design steps [10]. In each step we essentially deal with an easier, single-input-single-output design problem, and each step provides a reference for the next design step. The overall stability and performance are achieved by a Lyapunov function for the whole system [11]. The synthesis of this control can be achieved in two steps.

(i) Step 1: Computation of the reference rotor currents

In the first step, it is necessary that the system follows given trajectory for each output variable [12]. To do so, a function \( y_c = (\Omega_c, \Phi_c) \) is defined, where \( \Omega_c \) and the \( \Phi_c \) are the mechanical speed and stator flux references, respectively. The mechanical speed and stator flux tracking error \( e_1 \) and \( e_3 \) are defined by:

\[
\begin{align*}
    e_1 &= \Omega_c - \Omega \\
    e_3 &= \Phi_c - \Phi_{sd}
\end{align*}
\]  
(17)

The derivative of Eq. (17) gives

\[
\begin{align*}
    \dot{e}_1 &= \dot{\Omega}_c - \dot{\Omega} \\
    \dot{e}_3 &= \dot{\Phi}_c - \dot{\Phi}_{sd}
\end{align*}
\]  
(18)

Accounting for eq. (15), one can rewrite eq. (18) as follows:

\[
\begin{align*}
    \dot{e}_1 &= \Omega_c - b_1 \\
    \dot{e}_3 &= \Phi_c - b_2
\end{align*}
\]  
(19)

In order to check, let us the tracking performances follow trajectories for each output variable [12]. To do so, a candidate function \( V_1 \) is defined:

\[
V_1 = \frac{1}{2} e_1^2 + \frac{1}{2} e_3^2
\]  
(20)

Using eq. (19), the derivative of eq. (20) is written as follows:

\[
\dot{V}_1 = e_1 (\Omega_c - b_1) + e_3 (\Phi_c - b_2)
\]  
(21)

This can be rewritten as follows:

\[
\dot{V}_1 = K_1 e_1^2 + K_3 e_3^2
\]  
(22)

Where \( K_1, K_3 \) should be positive parameters [12], in order to guarantee a stable tracking, which gives:

\[
\begin{align*}
    \dot{e}_1 &= \Omega_c - \dot{\Omega} = -K_1 e_1 \\
    \dot{e}_3 &= \Phi_c - \dot{\Phi}_{sd} = -K_3 e_3
\end{align*}
\]  
(23)

Where:

\[
\begin{align*}
    \dot{\Omega} &= \dot{\Omega}_c + K_1 e_1 \\
    \dot{\Phi}_{sd} &= \dot{\Phi}_c + K_3 e_3
\end{align*}
\]  
(24)

Eq. (24) allows the synthesis of rotor current references, such as:

\[
\begin{align*}
    (\Phi_{sd r})_c &= \frac{L}{M} \left( \Omega_c - \frac{r_i}{j} + \frac{L}{j} \Omega + K_1 e_1 \right) \\
    (R_s i_{rd})_c &= \frac{L_s}{M} \left( \Phi_c + \frac{R_s}{L_s} \Phi_{sd} + K_3 e_3 \right)
\end{align*}
\]  
(25)

(ii) Step 2: Computation of the reference rotor voltages

In this step, an approach to achieve the current reference generated by the first step is proposed. Let us recall the current errors, such as:

\[
\begin{align*}
    e_2 &= (\Phi_{sd r})_c - \Phi_{sd r} \\
    e_4 &= (R_s i_{rd})_c - R_s i_{rd}
\end{align*}
\]  
(26)

Accounting for eq. (25), eq. (26) turns to be:

\[
\begin{align*}
    \dot{e}_2 &= \frac{L}{M} \left( \Omega_c - \frac{r_i}{j} + \frac{L}{j} \Omega + K_1 e_1 \right) - \Phi_{sd r} \\
    \dot{e}_4 &= \frac{L_s}{M} \left( \Phi_{sd r} + \frac{R_s}{L_s} \Phi_{sd} + K_3 e_3 \right) - R_s i_{rd}
\end{align*}
\]  
(27)

The time derivative of eq. (26) yields:

\[
\begin{align*}
    \ddot{e}_2 &= (\Phi_{sd r})_c - (\Phi_{sd r})_c = A_1 - \frac{1}{\sigma_{tr}} \Phi \\
    \ddot{e}_4 &= (R_s i_{rd})_c - (R_s i_{rd})_c = A_2 - \frac{R_s}{\sigma_{tr}} V_{rd}
\end{align*}
\]  
(28)

Where \( A_1, A_2 \) are known signals that can be used in the control, and their expressions are as follows:

\[
\begin{align*}
    A_1 &= f_a \Omega_c - K_1^2 f_b e_1 + K_1 f_c + f_e C_t - f_a \Omega \\
    A_2 &= -f_j K_3^2 e_3 + K_3 e_4 + f_j \Phi_{sd r} - f_i \Phi_{sd} + f_k i_{rd} - R_s \omega_r i_{rd}
\end{align*}
\]  
(29)

With

\[
\begin{align*}
    f_a &= \frac{L_s}{3M} \cdot f_c &= \frac{f_c}{3M} \\
    f_d &= \frac{f_c}{3M} \\
    f_e &= \frac{f_e}{j} \\
    f_f &= \frac{R_s}{L_s} \\
    f_g &= \frac{L_s}{M} \\
    f_h &= \frac{L_s}{M} \cdot f_i &= \frac{f_i}{M} \\
    f_j &= \frac{f_j}{M} \cdot f_m &= \frac{f_m}{M}
\end{align*}
\]  
(30)

One can notice that eq. (28) include the system inputs: the rotor voltage. These could be find out thorough the definition of a new Lyapunov function based on the.
errors of the speed, of the stator flux and the rotor
currents [1], such that:

\[ V_2 = \frac{1}{2}(e_1^2 + e_2^2 + e_3^2 + e_4^2) \]

The derivative of Eq. (30) is given by:

\[ \dot{V}_2 = e_1 \dot{e}_1 + e_2 \dot{e}_2 + e_3 \dot{e}_3 + e_4 \dot{e}_4 \]  
(31)

By setting eq. (25) in eq. (30), one can obtain:

\[ \dot{V}_2 = -K_1 e_1^2 - K_2 e_2^2 - K_3 e_3^2 - K_4 e_4^2 + e_2 \left( \frac{\mu}{J} e_1 + A \right) - \frac{1}{\sigma L_r} \Phi_{sd} V_{r_q} + K_2 e_2 \]  
(32)

The derivative of the complete Lyapunov function eq. (32) can be negative definite, if the quantities between parentheses in eq. (32), would be chosen equal to zero.

\[ \begin{cases} 
    \frac{\mu}{J} e_1 + A - \frac{1}{\sigma L_r} \Phi_{sd} V_{r_q} + K_2 e_2 = 0 \\
    \frac{M}{L_s} e_3 + A_2 - \frac{R_s}{\sigma L_r} V_{r_d} + K_4 e_4 = 0 
\end{cases} \]
(33)

The rotor voltages then deduced as follows:

\[ \begin{cases} 
    V_{r_d} = \frac{\sigma L_r}{R_s} \frac{M}{L_s} e_3 + A_2 + K_4 e_4 \\
    V_{r_q} = \frac{\sigma L_r}{\Phi_{sd}} \left( \frac{\mu}{J} e_1 + A_1 + K_2 e_2 \right) 
\end{cases} \]
(34)

Where \( K_2 \) and \( K_4 \) are positive parameters selected to guarantee a faster dynamic of the rotor current those of the stator flux and speed.

From the above we can obtain the control laws as

\[ \dot{V}_2 = -K_1 e_1^2 - K_2 e_2^2 - K_3 e_3^2 - K_4 e_4^2 \leq 0 \]  
(35)

The bloc diagram of the proposed Backstepping control scheme is illustrated by Figure 4.

The blocks \( (i_{rd})_c \) --Computation and \( (i_{rq})_c \) --Computation provide respectively the rotor currents references components via the stator flux and speed feedback controls.

According to eq. (25), these currents components characterize the so-called fictive input commands. The voltage command are generated from the feedback control of the rotor current components are given by the two blocks \( V_{r_d} \) --Computation and \( V_{r_q} \)--Computation which are implemented from Eq. (34). So, we cannot that the four calculations replace naturally the classical PI-controllers utilized into the field control of a DFIG.

As indicate in figure 4, we use two blocks to estimate the mechanical speed and stator flux.

### 4.3 Stator flux and mechanical speed estimator

The stator flux has been estimated using the simplified DFIG model obtained after the application of the field oriented control principle. Where much simplification is gained by the fact that \( \Phi_{sd} = 0 \). This allows estimation of the stator flux vector is given by Figure 5.

According to the fifth equation from Eq. (8), it is possible to compute \( \bar{\omega} \) as follows:

\[ \bar{\omega} = \omega_s - \bar{\omega}_r = \omega_s - \frac{M i_{sq}}{r_s \Phi_{sd}} \]  
(36)
5 Grid side converter and DC-bus voltage control

5.1 Grid Side Converter control

In order to keep constant DC voltage to supply the rotor side converter and realize a variable speed control, a rectifier interfacing the grid is needed. By sub synchronous rotor angular speeds, a part of the aerodynamic energy captured by the turbine is used via the grid side converter to brake the generator. In this mode the converter works as rectifier. By super synchronous rotor angular speeds, on the contrary, all the converted electric power is transferred into the grid. The grid side converter acts then as inverter. The possibility to drive the induction generator in this two operating conditions forces to design the grid side converter as a bidirectional one.

Task of the grid side converter during normal operations is to transform a three-phase voltage into a DC voltage. This one has to be kept to a constant value [3].

The control scheme that achieves this task is based on the error between the reference and the actual DC voltage. A PI controller applied to this measured error provides the current amplitude reference on the AC side. This is used to determine the modulating waves for the converter, which is acted as a boost rectifier. This way the currents on the rotor side can be reduced, while increasing the voltage.

The converter with dimensions grid is an inverter of tension (\( V_{gd}, V_{qu} \)) and the variables of control are the output GSC currents (\( I_{od}, I_{oq} \)). With an aim independently of controlling the active and reactive powers system forwarded towards the network, choosing us to oriented the network voltage according to the Park direct axis [2]:

\[
\begin{align*}
V_{Rd} &= V_R \\
V_{Rq} &= 0
\end{align*}
\]  

(37)

By supposing that the assumptions of orientation of the vector grid voltage are satisfied, the expressions of the active power and of the reactive power become:

\[
\begin{align*}
P_0 &= \frac{3}{2} V_R I_{od} \\
Q_0 &= -\frac{3}{2} V_R I_{oq}
\end{align*}
\]  

(38)

In order to obtain the maximum active power forwarded towards the grid, it will be supposed that the reactive power of reference is null (\( Q_{ref} = 0 \)). So we obtain a null current of reference \( I_{oq-ref} \) [6]:

\[
i_{oq-ref} = \frac{P_{0-ref} V_{Rd} + Q_{0-ref} V_{Rq}}{\frac{3}{2} (V_{Rd}^2 + V_{Rq}^2)}
\]

(39)

5.2 DC-bus Voltage Control

On the level of the continuous bus, energy is written:

\[
W_{dc} = \int_0^t p_d(t) dt = \frac{1}{2} C V_{dc}^2
\]

(40)

The dc-power is given by derivative of energy equation [11]:

\[
P_{dc} = \frac{dW_{dc}}{dt} = C V_{dc} \frac{dV_{dc}}{dt}
\]

(41)

The dc-bus power assessment is given by:

\[
P_{dc} = P_{em} - P_{Rs} - P_{ir} - P_s - P_o
\]

(42)

Knowing \( P_r \) the rotor power, \( P_s \) the stator power, that exchanged by the, the stators and rotor coppers losses \( P_{Rs} \) and \( P_{ir} \). Then, the \( V_{dc} \) makes it possible to control the current \( i_o \) on the GSC output.

\[
V_{dc} \frac{dV_{dc}}{dt} = A - B i_{od}
\]

(43)

With:

\[
\begin{align*}
A &= \frac{r_{em} - p_{ir} - p_{Rs} - p_s}{C} \\
B &= \frac{V_R}{C}
\end{align*}
\]

(44)

The DC voltage link is controlled by the PI- regulator, as illustrated in Figure 6.

![Figure 6: DC-voltage regulation loop](image)

5.3 Stability Analysis

To prove the convergence properties and stability of the overall closed loop system, we will prove that all the signals of the states are bounded and all tracking errors and all estimation and observation errors converge to zero asymptotically. For that, the proof is established for adaptative backstepping controller via Barbalat’s Lemma [4] using the Lyapunov candidate function. For that, we have to prove

\[
(45)
\]
\[ e_1, e_2, e_3, e_4 \in L_\infty \cap L_2, \dot{e}_1, \dot{e}_2, \dot{e}_3, \dot{e}_4 \in L_2 \]

These conditions imply, via Barbalat’s Lemma that the closed-loop is stable and that \( e_1, e_2, e_3 \) and \( e_4 \) all converge to zero.

We begin with the facts that \( V_2 \) is positive definite, and \( V_2 \) is semi-negative definite, thus all the error signals \( e_1, e_2, e_3 \) and \( e_4 \) are bounded. With our assumptions on the reference signals \( \Omega_c, \Phi_2 \), we get that the signals \( A_1 \) and \( A_2 \) are bounded. Therefore by eq. (19) and eq. (28), we know that \( \dot{e}_1, \dot{e}_2, \dot{e}_3 \) and \( \dot{e}_4 \) are bounded. Now, what left is to prove \( e_1, e_2, e_3, e_4 \in L_2 \).

By the eq. (20), eq. (22), eq. (20) and eq. (35) we get

\[ V_1 V_1' \leq 0 \text{ and } V_2 V_2' \leq 0 \quad (46) \]

### 6 Simulations results

The proposed DFIG control has been simulated using Matlab/Simulink. DFIG parameters are those in appendix. The parameters \( K_1, K_2, K_3 \) and \( K_4 \) of the backstepping control are chosen as follows: \( K_1 = 2800 \), \( K_2 = 1200 \), \( K_3 = 0.001 \) and \( K_4 = 9000 \) to satisfy convergence condition.

We have made three simulations:

1) In the first case, we present the DFIG response under nonlinear backstepping control. Figure 7 illustrates the speed, the electromagnetic torque and the flux variations. Figure 8 illustrates the three phase stator, rotor and grid currents and the stator, the rotor and the grid powers variations.

2) In the second case we have studied the dynamic performances of the proposed control strategy. The corresponding results are given by Figure 9 and Figure 10. By these figures we illustrate the speed and the stator flux variations. We introduce a sudden increase and decrease of the reference speed and the sudden increase and decrease of the reference stator flux.

3) In the third case, we have studied the stability of the system. The study is based on the illustration of the Lyapunov candidate function and its derivative. The corresponding results are illustrated by Figure 11.

The responses of the DFIG under Backstepping control strategy is shown in Figure 7 and Figure 8. The illustrated variables are:

- Figure 7a shows that the mechanical speed converges perfectly to their reference value coming from the control in MPPT operation conditions of the turbine.
- Figure 7b shows that the dq-axis stator flux components. One can notice that the q-axis stator flux is almost null and the d-axis one is equal to the nominal value.
- Figure 7c shows that the dq-axis rotor flux components. We remark that the rotor flux appears a oscillation in transient state.
- Figure 7d shows that the electromagnetic torque when it takes the nominal value in permanent state.
- Figure 7e shows that estimated and reference stator flux which is a pulse of 3 Wb. One can notice the high performance of the stator flux loop.
- Figure 8a and Figure 8b shows respectively the zoom of stator and rotor current. These figures represent a good pursuit.
- From Figure 8c, one remark that the grid current in the three phase’s network constitute a balanced system of frequency 50Hz.
- Figure 8d shows that only third of the total power injected to the grid pass by the power converter. As well the grid power \( P_g \) is equal to the sum of the stator power \( P_s \) and the output power of the GSC \( P_0 \).

Figure 9 and Figure 10 shows the satisfactory performances respectively of the speed and stator flux tracking. Although the speed reference suddenly increased from their nominal values to 202rad/s at \( t=2s \) and decreases to 132rad/s at \( t=3.5s \), the stator flux reference increases from 3Wb to 4.5Wb at \( t=2s \), and decreases to 1.5Wb at \( t=3.5s \). We can see that the actual speed and stator flux follows well the variations of its reference.

We can find also, that the speed error and the stator flux error given by \( e_1 \) and \( e_2 \). We can remark that the increase of the mechanical speed reference \( \Omega_c \) and the stator flux reference \( \Phi_2 \), respectively, amplifies the static error and their decreases \( (\Omega_c \text{ and } \Phi_2) \), down the static error and it appears a small static error in steady condition with respect to nominal case.

Thus, the simulation results confirm the robustness of the proposed scheme against the reference speed and stator flux variations.
To prove the stability of the overall system, we will prove that
\( V_1 \dot{V}_1 \leq 0 \) and \( V_2 \dot{V}_2 \leq 0 \) shown in Figure 11.

7 Conclusion

This work described a control approach applied on DFIG driven by wind turbine to extract the maximum power from the wind will to the grid. The system uses two rotor power converters linked by a DC voltage which allow the power energy transient between the grid and the wind energy conversion system. In the first phase, we used the adaptive non linear backsteppig control in the RSC. In the second phase, we have used the oriented network voltage principle to control the GSC. Simulations results are shown interesting obtained control performances of the DFIG. Global asymptotic stability was achieved via the Lyapunov stability analysis. The obtained results show that the robustness of Backstepping nonlinear control against parameters variations.

![Figure 7: Response of the DFIG under Backstepping control strategy (Speed, electromagnetic torque, and Stator and rotor flux)](image-url)
Figure 8: Responses of the DFIG under Backstepping control strategy (Stator, rotor and grid currents and powers)

Figure 9: Dynamic response of the DFIG under backstepping control with speed variations

Figure 10: Dynamic response of the DFIG under backstepping control with stator flux variations
8 References


Appendix: Induction generator data

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rated power</td>
<td>660Kw</td>
</tr>
<tr>
<td>Rated stator voltage</td>
<td>400/690V</td>
</tr>
<tr>
<td>Nominal frequency</td>
<td>50 Hz</td>
</tr>
<tr>
<td>Number of pole pairs</td>
<td>n_p=2</td>
</tr>
<tr>
<td>Rotor resistance</td>
<td>R_r = 0.0238Ω</td>
</tr>
<tr>
<td>Stator resistance</td>
<td>R_s = 0.0146Ω</td>
</tr>
<tr>
<td>Stator inductance</td>
<td>L_s = 0.0306H</td>
</tr>
<tr>
<td>Rotor inductance</td>
<td>L_r = 0.0303</td>
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<tr>
<td>Mutual inductance</td>
<td>M=0.0299H</td>
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</tbody>
</table>

Appendix: wind turbine data

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rated power</td>
<td>660Kw</td>
</tr>
<tr>
<td>Blade Radius</td>
<td>R = 21.165 m</td>
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<tr>
<td>Power coefficient</td>
<td>C_pmax = 0.42</td>
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<tr>
<td>Optimal relative wind speed</td>
<td>\dot{\lambda} = 9</td>
</tr>
<tr>
<td>Mechanical speed multiplier</td>
<td>G=39</td>
</tr>
<tr>
<td>Moment of inertia</td>
<td>J=28 Kg.m²</td>
</tr>
<tr>
<td>Damping coefficient</td>
<td>f= 0.01</td>
</tr>
</tbody>
</table>
Appendix: Power coefficient constants

\[ c_1 = 0.5109 \quad c_4 = 5 \]
\[ c_2 = 116 \quad c_5 = 21 \]
\[ c_3 = 0.4 \quad c_6 = 0.0068 \]

List of Symbols

\[ P_t : \text{Wind turbine power (aerodynamic power)} \]
\[ T_t : \text{Wind turbine torque (Nm)} \]
\[ V : \text{Wind speed (m/s)} \]
\[ R : \text{Blade radius (m)} \]
\[ \rho : \text{Air density} \]
\[ \beta : \text{Pitch angle} \]
\[ p : \text{Number of pair poles} \]
\[ C_p : \text{Power coefficient} \]
\[ \Omega_t : \text{Wind turbine angular speed (shaft speed) (rad/s)} \]
\[ \Omega : \text{Mechanical speed (rad/s)} \]
\[ \lambda : \text{Relative wind speed} \]
\[ \lambda_{opt} : \text{Optimal relative wind speed} \]
\[ G : \text{Mechanical speed multiplier (gearbox)} \]
\[ J : \text{Moment of inertia} \]
\[ f : \text{Damping coefficient} \]
\[ C_t : \text{Wind turbine torque (Nm)} \]
\[ T_{em} : \text{Electromagnetic torque (Nm)} \]
\[ V_s, V_r : \text{Stator and rotor voltage (V)} \]
\[ i_{sd}, i_{sq} : \text{Direct and quadrature component of the stator currents (A)} \]
\[ i_{rd}, i_{rq} : \text{Direct and quadrature component of the rotor currents (A)} \]