Pseudo Peak Suppression in Generating Range Profile with Multi-carrier Chirp

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Abstract: - To ensure the reliability of transmission and prevent inter-carrier interference (ICI) between sub-bands, the sub-carrier frequency increment is usually greater than the bandwidth of sub-band for multi-carrier chirp. That will bring on false targets generated by pseudo peaks on High Resolution Range Profile (HRRP). At the presence of false targets, it is difficult to get the true position of target due to the serious influence on target detection. In this paper, the mechanism of pseudo peaks generation is analyzed and an algorithm is proposed to remove pseudo peaks while the image resolution is improved by Super-SVA, which reduces the echo pulse width. With the pulse width less than an unambiguous range, the HRRP without false targets can be obtained. With the analysis and simulation of single and multi-targets, the results indicate that this algorithm can effectively remove false targets caused by the pseudo peaks.

Key-Words: - Multi-carrier communications; pseudo peak; Super-SVA; HRRP, Target detection, Chirp signal

1 Introduction

The combination with communication and target detection is an important application area on Radar-communication integration. In communication areas, it is based on wireless network to achieve collaborative target perception. Multi-carrier signal can improve the information transmission rate as a communication signal, and is also able to synthesize large bandwidth to obtain HRRP as a radar signal. Therefore, multi-carrier chirp signal becomes more important in the research area of radar communication integration¹⁻³. Compared with multi-carrier chirp, stepped-frequency chirp signal (SFCS) is widely used in modern high-resolution radar, which has a low hardware complexity since it uses a narrowband transceiver. However, without parallel transmission in subbands, SFCS has a lower information transmission rate than that of multi-carrier chirp. Meanwhile, due to Doppler affection, SFCS generates linear phase item and quadratic phase term which results in target range shift and echo spread⁴. Multi-carrier chirp signal has the characteristics of being insensitive to Doppler, that is, it is immune to Doppler influence in moving targets⁵.

To obtain HRRP with multi-carrier chirp, first step in digital processing is channel division for different sub-band. After pulse compression, each sub-chirp signal becomes a coarse resolution range profile (called coarse distance dimension). Then, HRRP is obtained via IDFT applied to different channels in the same coarse range gate, which is called as fine distance dimension. To reduce the loss of oversampling, higher sampling frequency than the bandwidth of sub-band must be adopted, which result in over-sampling result of pulse compression. So the target pick-up algorithm has to be adopted to eliminate target’s redundancy in order to obtain fine HRRP. In target extraction⁶⁻⁷, a zone is picked up from each fine distance dimension, called valid zone here. And there is only one valid zone for a single target under the ideal condition.

In order to ensure the reliability of transmission and prevent inter-carrier interference (ICI) between sub-bands, it is essential to add a guard interval or use a time-frequency domain roll-off shaping filter between sub-bands for multi-carrier communication signal. As a result, the carrier frequency increment Δf must be greater than bandwidth of subband B, as shown Figure 1. At this point, the pulse width is greater than the unambiguous range in the synthetic range profile. Hence, more than one valid zone occurs in a pulse width. After target extraction, false targets will turn up inevitably in range profile, which impact on the target detection.

This paper analyzes the mechanism of pseudo peaks generation, and proposes the algorithm of pseudo peaks suppression based on the spectrum extrapolation characteristics of Super-SVA. That
makes pulse width less than an unambiguous range by increasing bandwidth of echo signal. The algorithm can avoid the generation of false targets and improve the resolution of the target. The results of computer simulation are given in agreement with the analysis.

2 HRRP synthesizing principle with multi-carrier chirp

The mathematic expression of multi-carrier chirp transmitted signal is given by

$$f(t) = \frac{1}{\sqrt{N}} \sum_{i=0}^{N-1} u(t) \cdot \exp\left(j2\pi\left(f_0 + i\Delta f\right)t\right)$$  \hspace{1cm} (1)

where

$$u(t) = \frac{1}{\sqrt{T}} \text{rect}\left(\frac{t}{T}\right) \exp\left(j\pi kt^2\right)$$

and $u(t)$ is the baseband signal of the chirp. $k = B/T$ is the frequency-modulation slope of a subband chirp, $B$ is the bandwidth of subband chirp, and $T$ is the symbol width. $N$ and $i$ are the number and the index of carry frequencies respectively. $f_0$ is the initial carrier frequency, $\Delta f$ is the carrier frequency increment of subband chirp. The time-frequency diagrams of signal are as shown in Figure 1.

![Fig.1: The time-frequency diagrams of signal](image)

The echo signal of multi-carrier chirp is expressed as:

$$f(t) = \frac{1}{\sqrt{T}} \sum_{i=0}^{N-1} u(t - \tau(t)) \cdot \exp\left(j2\pi\left(f_0 + i\Delta f\right)(t - \tau(t))\right)$$  \hspace{1cm} (2)

where

$$u(t) = \frac{1}{\sqrt{T}} \text{rect}\left(\frac{t}{T}\right) \exp\left(j\pi kt^2\right)$$

Considering the insensitivity to Doppler for multi-carrier chirp, the target is supposed to be a stationary. So the echo delay of target is $\tau(t) = 2R/c$, where $R$ is the range of target and $c$ is the light speed.

After channel division, the baseband signal is given by

$$f(t) = \frac{1}{\sqrt{N}} u\left(t - \frac{2R}{c}\right) \cdot \exp\left(j2\pi\left(f_0 + i\Delta f\right)(-\tau(t))\right)$$  \hspace{1cm} (3)

Using pulse compression\(^{[10]}\) on baseband signal of different channel, Equation (3) becomes

$$S_i(t) = \sqrt{kT^2} \cdot \exp\left(\frac{t - 2R/c}{T}\right) \cdot \sin \pi kT (t - 2R/c) \cdot \exp\left(-\pi k (t - 2R/c)^2\right)$$

$$\cdot \exp\left(\frac{j\pi}{4}\right) \cdot \exp\left(-2j\pi i\Delta f \cdot 2R/c\right) \cdot \exp\left(-2j\pi f_0 \cdot 2R/c\right)$$

$$\cdot \exp\left(-2j\pi f_0 \cdot 2R/c\right)$$  \hspace{1cm} (4)

Sampling $S_i(t)$ at $t = 2R/c$, and it yields:

$$S_i\left(\frac{2R}{c}\right) = \sqrt{kT^2} \cdot \exp\left(\frac{j\pi}{4}\right)$$

$$\cdot \exp\left(-2j\pi i\Delta f \cdot 2R/c\right) \cdot \exp\left(-2j\pi f_0 \cdot 2R/c\right)$$  \hspace{1cm} (5)

Taking N-point IDFT of $S_i\left(2R/c\right)$ with respect to $i$, we can obtain the HRRP of the target as follows:

$$S^{\text{idft}} = \sqrt{kT^2} \cdot \sin \pi \left(\frac{t}{N} - N\Delta f \cdot 2R/c\right) \cdot \sin \pi \left(\frac{t}{N} - N\Delta f \cdot 2R/c\right)$$  \hspace{1cm} (6)

3. Mechanism of pseudo-peak generation

Figure 2 is the 2 dimensional range profile under $B = \Delta f$. In the figure, the horizontal axis represents the coarse distance dimension, on which the interval between each slash is sampling range resolution $r_s$, $r_s = cT_{\Sigma}/2$, where $T_{\Sigma}$ is the sampling interval. The slash axis represents the fine distance dimension, and different slash corresponds to different distance gate, whose length is called the single point unambiguous range $r_l$. Each rough line
is a valid zone of different distance gate on the fine distance dimension, which is same as \( r_s \) in length. When all slashes connect up in order, HRRP can be obtained.

![Diagram](image1)

**Fig.2:** The 2 dimension range profile under \( B = \Delta f \)

It assumes that \( r_c = 4r_s \) in Figure 2, where \( r_c \) is the distance resolution of a pulse. As shown in coarse distance dimension, there are only 4 sampling points between the center of mainlobe and first zero point. Meanwhile, the single point distance unambiguous range is equal to 4 times length of a valid zone, that is \( r_l = 4r_s \), which locates on different place in each distance gate. In the case, the only one sampling point in a single pulse can fall into the valid zone, so there is only one sampling point to be saved for each target after target extraction.

![Diagram](image2)

**Fig.3:** The 2 dimension range profile under \( B < \Delta f \)

It assumes that \( B = f_s/7, \Delta f = f_s/4 \), when \( B < \Delta f \) shown in Figure 3.

In Figure 3, there are 7 sampling points between the center of mainlobe and first zero point in a single pulse, and the single point distance unambiguous range is equal to 4 times length of an valid zone. However, there are 3 sampling points falling into the valid zone in a single pulse. As a result, two false targets will turn up in HRRP, which impact on the target detection.

### 4. Super-SVA on multi-carriers chirp

#### 3.1 Super-SVA

![Diagram](image3)

**Fig.4:** The signal processing flow chart of Super-SVA

(a) The echo signal from pulse compression
(b) The result after SVA
(c) The spectrum after SVA
(d) The inverse amplitude weighting operation
(e) The reshaped spectrum
(f) The result after Super-SVA

Super-SVA is a super-resolution method developed on the basis of SVA\(^{[11,12]}\), the basic
process is described as follows. Firstly, the pulse compression is performed on the baseband signal of echo, and the result is shown in Figure 4 (a). Since SVA only saves the main lobe of the signal, the signal is equivalent to the time domain truncation by using SVA, shown as the red curves in Figure 4 (b). Thus, the frequency spectrum will be extrapolated, and the blue and red curves represent the frequency spectrum before and after SVA respectively shown as in Figure 4 (c). In order to improve resolution and save the information of target, the spread spectrum need be reshaped into a rectangle spectrum with the same amplitude, as shown in Figure 4 (e), where blue and red profile represent the frequency spectrum before and after extrapolation, respectively. Therefore, the spread spectrum need be multiplied by a frequency-domain inverse amplitude weighting operation, which is the amplitude ratio of extended rectangle spectrum and IFFT of a sinc function mainlobe, as shown in Figure 4 (d). Finally, after IFFT, the resolution of target is improved, as shown in Figure 4(f), where the blue and red curves represents the result before and after Super-SVA, respectively. The above extrapolation procedure can be repeated several times to get much higher resolution as desired.

4.2. Pseudo peak suppression by Super-SVA

In multi-carriers chirp, the introduction of the protection interval makes sub-band $B$ less than the carrier frequency increment $\Delta f$, which results in the distance resolution of a pulse greater than the single point unambiguous range. So a single pulse contains more than one valid zone. Then, the valid zones are picked up from the fine distance dimension and are spliced in order. As a result, the false targets will arise in HRRP. Super-SVA is applied to extend bandwidth of sub-band, which makes pulse width smaller on coarse distance dimension. Here, the extended bandwidth notes as $B'$. As long as $B' > \Delta f$, a pulse width can be smaller than the single point unambiguous range. In this way, there is only one valid zone to be saved against one target in target extraction.

The flow chart of proposed algorithm is shown in Figure 5. Firstly, the channel division is performed on multi-carriers chirp echo, and the baseband echo signal in each channel passes through match filter. Afterward, IDFT is applied between different channels of the same sampling distance gate. To eliminate false targets generated by pseudo, Super-SVA is performed on each channel to decrease pulse width. After Super-SVA, the still existence of sidelobes can generate false targets and cover weak targets. Furthermore, RSVA$^{[13]}$ can be applied to suppress sidelobes under the noninteger Nyguist sampled version. By targets extraction, there is only one valid zone in a single pulse. Thus, the pseudo peaks are removed.

5. Simulation and analysis

5.1 Simulation for single target environment

Here are some simulation results. The parameters used in the simulation are listed in Table 1.

<table>
<thead>
<tr>
<th>Table 1: Simulation parameters</th>
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<tbody>
<tr>
<td>Sub-chirp bandwidth ($B$)</td>
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<tr>
<td>Pulse width ($T$)</td>
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<tr>
<td>Initial carrier frequency ($f_0$)</td>
</tr>
<tr>
<td>Increment of carrier frequency ($\Delta f$)</td>
</tr>
<tr>
<td>Number of carriers ($N$)</td>
</tr>
<tr>
<td>Sampling resolution ($R_s$)</td>
</tr>
<tr>
<td>Single point unambiguous range ($R_f$)</td>
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<tr>
<td>Fine resolution ($\Delta R$)</td>
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<tr>
<td>Target distance ($R$)</td>
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</tbody>
</table>

After pulse compression of baseband echo signal and RSVA, the result without Super-SVA is shown as Figure 6, where the center of pulse locates on $x_{mid} = 15m$, and both of the first zero point locate on $x_{left} = 11.63m$ and $x_{right} = 18m$. Since
\( x_{\text{mid}} - x_{\text{left}} = 3.37 > R_t \), there is more than one sampling point, whose valid zone contains target, between \( x_{\text{mid}} \) and \( x_{\text{left}} \). Similarly, it is the same between \( x_{\text{mid}} \) and \( x_{\text{right}} \).

The difference results from the error produced by sampling resolution. However, the width of target without Super-SVA is \( c/2B = 3m \), this shows that the width of target is compressed and has been smaller than \( R_t \). Therefore, only one valid zone can be picked up.
It can be seen from Figure 10 that the false targets in both side of real target have disappeared. That means that the false targets generated by pseudo peaks can be removed effectively by using Super-SVA.

5.2 Simulation for multi-targets environment
We assume that three target distances are $R_1 = 19.250m$, $R_2 = 20.125m$, $R_3 = 20.750m$ and $RCS_1 = 1$, $RCS_2 = 0.6$, $RCS_3 = 0.3$, respectively. The other parameters are the same as table 1.

We can see from the simulation results that weak targets and false targets is difficult to be distinguished, in multi-target environment, as shown in Figure 11 (a) and 12 (a). Implementing Super-SVA on multi-carrier chirp, we can eliminate false target after RSVA and target extraction, as shown in Figure 11 (b) and Figure 12 (b).

6. Conclusion
This paper analyzes the causes of pseudo peaks generation on HRRP for multi-carrier chirp. Super-SVA is applied on multi-carrier chirp to decreases pulse width by bandwidth extrapolation. In target extraction, it can ensure that there is only one valid zone in the width of pulse in order to avoid the false peaks. Compared with the original process, the algorithm can avoid pseudo peaks and improve target resolution, just using Super-SVA algorithm before sidelobe suppression. With the simulation in single target and multiple targets environment, the results confirm the validity of the algorithm.

References:
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