## Consistent Relative Thermodynamic Data for Hydrogen Bonding and Stacking Interactions of Nucleic Acid Base Derivatives.

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*Abstract:* - An ab initio method is used in a two state model to calculate consistent relative enthalpies and free energies for the stacking of nucleic acid bases in deoxyribose dinucleotides and the Watson-Crick hydrogen bonding interactions between mononucleotides when uncharged and singly charged. Favorable free energy changes are determined for the formation of dimers between mononucleotides by Watson-Crick and stacking interactions. The data is used to compare the free energy changes for the formation of the ten antiparallel dublet deoxyribose nucleotide duplexes with the analogous ten antiparallel dublet ribose nucleotide duplexes. The data is also used to show the predominance of Watson-Crick hydrogen bonding in the formation of the antiparallel triplet deoxyribose nucleotide duplexes and indicate the formation of non Watson-Crick interactions leading to the formation of point mutations such as that found in sickle cell anaemia. The silencing of genes is shown to be viable and the slightly increased stability of the DNA codons and anticodons versus the RNA analogues is established. However, prebiotically the codons and anticodons could not be fully translated without a chance of error. Hybridization of the mRNA triplets with the tRNA triplets is shown to span the entire range of accessible stacking free energies and provide some specificity for the operation of the standard genetic code.

The stacking interactions were calculated for the overall enthalpy changes in the ZKE approximation at the HF and MP2 /6-31G\* level.

*Key-Words:* - Thermodynamic data, base stacking, deoxyribo dinucleotides, duplexes, genetic code.

### **1** Introduction

The nucleic acid bases, uracil, thymine, cytosine, adenine and guanine are known to stack in aqueous solution as free bases [1], nucleosides and nucleotides [2]. The stacking also occurs in single strand polymers [3], and in double and triple helices [4]. Extensive studies of nucleic acid base stacking have been undertaken, both experimental [5-7] and theoretical (8-9) to determine the factors stabilising DNA, to determine the flexibility, curvature, thermal stability [7], or to simulate melting curves [8]. The theoretical studies have included pure ab initio [10], and semi-empirical calculations [11].

This study is to accurately determine the relative stacking energies of the bases to test the hypothesis that the original genes were formed by stacking that preceded a slow polymerization reaction that proceeded down the chain. If correct, this hypothesis predicts that the stability of the stacks formed and subsequently polymerized were assembled according to their thermodynamic stability, and these stable sequences were trapped for all time in the genes of living organisms. One suggestion for nucleotides that could have polymerized were the amino acyl derivatives of cyclic-3',5'-nucleotides [12]. In this project the sixteen base-base interactions of triply ionised dinucleotides are determined by a pure ab initio method [13]. The total energy of the polymer may then be calculated according to the one-dimensional Ising model [14].

### 2 **Problem Formulation**

The computations tabulated in this paper used the GAUSSIAN98 [15] commercial package. The standard calculations at the HF and MP2 levels including zero-point energy corrections [13], together with scaling [16], using the same basis set,  $6-31G^*$ . are as previously published [17]. Enthalpy changes at the MP2 level not including scaled zero point energies are designated as  $\Delta H_{(MP2)}$ . The complexes are less stable when calculated at the Hartree Fock level [13].

This paper uses the atomic unit of energy, the hartree [15].

 $1h = 627.5095 \text{ kcal.mol}^{-1}$ .  $1h = 4.3597482 \text{ x } 10^{-18} \text{ J}$ Charges are in units of the electronic charge.

The method of calculating the relative stacking interactions in the gas phase was to optimize the dinucleotide structure and determine the enthalpy change for the formation of the glycosidic bond and the stacking interaction as shown in Fig.1. The enthalpy change for the formation of the glycosidic bond was then determined separately as shown in Fig.2. This enabled the stacking interaction to be isolated. In these reactions the coordinates of bonding functional groups were not fixed, and allowed to vary during the optimization.



Fig.1 The formation of the glycosidic bond and stacking interactions where the enthalpy change is  $\Delta H_3$ 





Fig.2 The formation of the glycosidic bond where the enthalpy change is  $\Delta H_2$ 

$$\Delta H1 + \Delta H2 = \Delta H3 \quad (1)$$

where  $\Delta H_1$  is defined as the stacking interaction.

### **3** Problem Solution

## **3.1** Conformations and Stacking Energies of the Stacked Dinucleotides

The geometry of the optimized dinucleotides is characterised by the dihedral angles shown in Fig.3. [18]



Fig.3. The dihedral angles used to define the structure of the dinucleotides.

The conformation of the bases was chosen so that they could form a right handed duplex with Watson-Crick hydrogen bonds if a second strand was present. A representaive stacked conformation of GpTp is shown in Fig.4.



Fig.4. An optimized structure of stacked GpTp.

Table 1 The dihedral angle (degrees) of pyrimidine and purine dinucleotides. Enthalpy(h) changes for stacking. T=298.15 K.

Dinucleotide	α	β	γ	δ	3
ТрТр	113	67	64	153	71
СрТр	109	80	71	151	68
ТрСр	107	77	57	138	70
СрСр	107	72	62	153	67
GpGp	107	77	63	156	61
GpAp	118	72	73	162	68
ApGp	110	78	71	144	69
ApAp	113	72	72	156	68

Table1 (cont)

Dinucleotide	ξ	χ	χ	$\Delta H_{298.15}$
		(5')	(3')	(stacked)
ТрТр	81	0	30	-0.03956
СрТр	81	43	38	-0.03314
TpCp	86	8	62	-0.02894
CpCp	81	31	31	-0.03683
GpGp	82	19	33	-0.05653
GpAp	89	13	32	-0.02929
ApGp	80	39	49	-0.03767
ApAp	81	1	24	-0.03018

Table 1 (cont.)

Dinucleotide	α	β	γ	δ	3
ТрАр	107	68	64	148	69
АрТр	112	75	69	153	68
СрАр	110	70	70	157	67
ApCp	111	69	65	154	70

CpGp	117	70	75	162	63
GpCp	108	79	65	164	63
TpGp	107	69	65	148	69
GpTp	114	69	71	175	61

Table1 (cont)

Dinucleotide	ξ	χ	χ	$\Delta H_{298.15}$
		(5')	(3')	(stacked)
ТрАр	82	17	42	-0.04069
АрТр	78	10	28	-0.02852
СрАр	82	48	36	-0.03681
ApCp	80	33	32	-0.03780
CpGp	67	74	100	-0.04560
GpCp	83	11	36	-0.06213
TpGp	83	17	40	-0.03365
GpTp	80	15	40	-0.04108

The ratio, GpCp/CpGp > 1, is also found for many DNA sequences [19].

The total energies and zero point energies (hartrees) for the respective equilibrium geometries. are shown in Table 2

#### Table 2

MP2 /6-31G\* total energies and zero point energies (hartrees) for the respective equilibrium geometries.

MP2 hartree	ZPE (HF) hartree
-2800.38521	0.52958
-2741.33272	0.51215
-2813.51549	0.52705
-2888.57068	0.53177
-2741.34479	0.51276
-2676.43897	0.49605
-2754.48487	0.50946
-2829.54296	0.51577
MP2	ZPE (HF)
hartree	hartree
-2813.52710	0.52693
-2754.48275	0.50962
-2826.66541	0.52375
-2901.71595	0.52899
	MP2 hartree -2800.38521 -2741.33272 -2813.51549 -2888.57068 -2741.34479 -2676.43897 -2754.48487 -2829.54296 MP2 hartree -2813.52710 -2754.48275 -2826.66541 -2901.71595

GpTp	-2888.58418	0.53263
GpCp	-2829.55248	0.51580
GpAp	-2901.70752	0.52818
GpGp	-2976.77098	0.53471

Also recorded are the enthalpy changes where the model is MP2, basis set  $6-31G^*$  and the zero point energies (HF) have been scaled and included. These values are given in Table.3-6

### 3.2 The Thermodynamic Data for Stacked Pyrimidine Dinucleotides at 298.15 K, HF Model, Basis Set 6-31G\*.

The Gaussian program also produces the following thermodynamic data in which the zero-point energy is not scaled.

Table 3. The thermodynamic data for the stacking and glycosidic bond formation in the pyrimidine dinucleotides. Energies are in hartree  $(1 \text{ h} = 627.5095 \text{ kcal.mol}^{-1})$  [15].

Di-	E (HF)	ZPE	H Electronic
nucl	Total	Zero-	+ Therm
eotide	Electronic	Point	Enthalpy.
	Energy	Energy.	
ТрТр	-2794.21631	0.52958	-2793.65371
(1)			
dpTp	-2418.72135	0.43171	-2418.26203
(2)			
Tp (3)	-870.04279	0.26881	-869.75983
dp (4)	-494.56821	0.17175	-494.38804
T (5)	-451.49466	0.12318	-451.36399
ТрСр	-2735.31568	0.51215	-2734.77156
(1)			
dpCp	-2359.82751	0.41458	-2359.38635
(2)			
Tp (3)	-870.04184	0.26892	-869.75873
dp (4)	-494.56929	0.17182	-494.38703
T (5)	-451.49466	0.12317	-451.36395
СрТр	-2735.33174	0.51276	-2734.78697
(1)			
dpTp	-2418.72753	0.43148	-2418.26820
(2)			
Cp (3)	-811.15031	0.25192	-810.88536
dp (4)	-494.56958	0.17169	-494.38942
C (5)	-39260509	0.10616	-392.49254

СрСр	-2676.43894	0.49605	-2675.91216
(1)			
dpCp	-2359.83037	0.41456	-2359.38920
(2)			
Cp (3)	-811.15175	0.25201	-810.88670
dp (4)	-494.56872	0.17174	-494.38852
C (5)	-392.60395	0.10632	-392.49124
$H_2O$	-76.01075	0.02148	-75.98777

Table.3 (cont).

Di-	G (HF)	S	ΔН
nucl	Electronic +	Entropy	stacking
eotide	Thermal Free	(cal K <sup>-1</sup>	and $\Delta H$
	Energy	$mol^{-1}$	glycosidic
	2	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	bond
ТрТр	-2793.74574	193.701	ΔΗ
$(1)^{r}$			stacking
dpTp	-2418.34432	173.208	= -0.040
(2)			
Tp (3)	-869.81364	113.241	
dp (4)	-494.42874	85.680	$\Delta H$
			glycosidic
T (5)	-451.40248	81.002	= -0.007
(-)			
ТрСр	-2734.86174	189.807	ΔΗ
$(1)^{r-r}$			stacking
dpCp	-2359.46664	168.971	= -0.029
(2)			
Tp (3)	-869.81264	113.476	
dp (4)	-494.42985	85.918	ΔΗ
1 ( )			glycosidic
T (5)	-451.40251	81.165	= -0.005
СрТр	-2734.87743	190.390	ΔΗ
(1)			stacking
dpTp	-2418.35115	174.584	= -0.033
(2)			
Cp (3)	-810.93676	108.183	
dp (4)	-494.43025	85.920	ΔΗ
			glycosidic
C (5)	-392.52859	78.878	=-0.003
СрСр	-2676.00010	185.093	ΔΗ
(1)			stacking
dpCp	-2359.46970	169.429	= -0.037
(2)			
Cp (3)	-810.93822	108.440	
dp (4)	-494.42935	85.940	ΔH
			glycosidic
C (5)	-392.52730	75.877	= -0.006

# **3.3** The Thermodynamic Data for Stacked Purine Dinucleotides at 298.15 K, HF Model, Basis Set 6-31G\*.

The corresponding thermodynamic data for the purine dinucleotides is given in Table 4.

Table 4. The thermodynamic data for the stacking and glycosidic bond formation in the deoxyribose dinucleotides. Energies are in hartree  $(1 \text{ h} = 627.5095 \text{ kcal.mol}^{-1})$ 

Di-	E (HF)	ZPE	H Electr0nic
nucl	Total	Zero-	+ Therm
eotide	Electronic	Point	Enthalpy
conde	Energy	Energy	Enthupy:
ΔnΔn	-2820 22623	0.52375	-2819 67048
(1)	-2020.22023	0.52575	-2017.07040
$\frac{(1)}{dn \Lambda n}$	2431 73200	0.42856	2/31 27622
(2)	-2431.73200	0.42850	-2431.27022
$\Delta n(3)$	-883.06012	0.26618	-882 78027
$d\mathbf{p}(4)$	404 57006	0.17185	404 38778
up(4)	-494.57000	0.17185	-494.30770
A (5)	-464.50818	0.12042	-464.38086
ApGp	-2895.10201	0.52899	-2894.54016
(1)			
dpGp	-2506.59992	0.43370	-2506.13801
(2)			
Ap (3)	-883.05750	0.26579	-882.77800
dp (4)	-494.56940	0.17176	-494.38917
A (5)	-464.50939	0.12019	-464.38232
GpAp	-2895.09646	0.52818	-2894.53520
(1)			
dnAn	-2431 73101	0.42828	-2431 27548
(2)	21011/0101	0.12020	2101127010
Gp(3)	-957.92830	0.27107	-957.64261
$\frac{dp}{dt}$ (4)	-494.56988	0.17174	-494.38973
$G_{\rm F}(5)$	-539 38085	0.12560	-539 24747
0 (3)	557.50005	0.12500	557.24747
CrCr	2000 08060	0.52471	2060 41219
GpGp	-2909.98060	0.53471	-2909.41218
(1)	2506 50777	0.40070	2506 12506
apGp	-2506.59777	0.43370	-2506.13596
(2)		0.05115	
Gp (3)	-957.92246	0.27115	-957.63670
dp (4)	-494.56909	0.17184	-494.38884
G (5)	-539.37306	0.12552	-539.23976

Table 4 (cont.)

			1
Di-	G (HF)	S	$\Delta G$
nucl	Electronic +	Entropy	stacking
eotide	Thermal Free	(cal K <sup>-1</sup>	and $\Delta G$
	Energy	$mol^{-1}$ )	glycosidic
			bond
ApAp	-2819.76103	190.592	$\Delta H$
(1)			stacking
dpAp	-2431.35810	172.312	= -0.030
(2)			
Ap (3)	-882.83345	111.929	
dp (4)	-494.43051	85.713	$\Delta H$
-			glycosidic
A (5)	-464.41844	79.099	= -0.007
ApGp	-2894.63229	193.904	ΔΗ
$(1)^{1}$			stacking
dpGp	-2506.22195	176.674	= -0.038
(2)			
Ap (3)	-882.83119	111.946	
dp (4)	-494.43001	85.943	ΔΗ
1 ()			glycosidic
A (5)	-464.41986	79.019	= -0.004
GpAp	-2894.62799	195.302	$\Delta H$
(1)	207	1,01002	stacking
dnAn	-2431 35743	172 466	= -0.029
(2)	2101100710	1/2.100	0.02
Gp (3)	-957.69774	116.033	
dp (4)	-494.43040	85.603	ΔΗ
1 ()			glycosidic
G (5)	-539.28705	83.318	= -0.003
. ,			
GnGn	-2969.50591	197.259	ΔН
(1)	2,0,0000,1	1771207	stacking
dnGn	-2506.21966	176.166	= -0.057
(2)		1,0,100	0.007
Gp (3)	-957.69185	116.066	
dp (4)	-494.42954	85.673	$\Delta H$
• • •			glycosidic
G (5)	-539.27934	83.304	= -0.006
. ,			

### 3.4 The Thermodynamic Data for Stacked Pyrimidine Purine Dinucleotides at 298.15 K, HF Model, Basis Set 6-31G\*.

The corresponding thermodynamic data for the pyrimidine purine dinucleotides is given in Table 5.

Table 5. The thermodynamic data for the stacking and glycosidic bond formation in the deoxyribose dinucleotides. Energies are in hartree  $(1 \text{ h} = 627.5095 \text{ kcal.mol}^{-1})$ 

Di-	E (HF)	ZPE	Н
nucl	Total	Zero-	Electronic +
eotide	Electronic	Point	Therm
	Energy	Energy.	Enthalpy.
ТрАр	-2807.21289	0.52705	-2806.65304
(1)			
dpAp (2)	-2431.70937	0.42903	-2431.25310
Tp (3)	-870.03959	0.27006	-869.75551
dp (4)	-494.56711	0.17244	-494.38633
T (5)	-451.49870	0.12320	-451.36794
ApTp (1)	-2807.22535	0.52693	-2806.66593
dpTp (2)	-2418.72789	0.43179	-2418.26836
Ap (3)	-883.05918	0.26617	-882.77936
dp (4)	-494.57023	0.17187	-494.38994
A (5)	-464.50816	0.12033	-464.38094
CpAp (1)	-2748.34029	0.50946	-2747.79921
dpAp (2)	-2431.73151	0.42832	-2431.27592
Cp (3)	-811.15131	0.25178	-810.88648
dp (4)	-494.56403	0.17153	-494.38401
C (5)	-392.60573	0.10610	-392.49324
ApCp (1)	-2748.33476	0.50962	-2747.79371
dpCp (2)	-2359.82725	0.41456	-2359.38617
Ap (3)	-883.05721	0.26611	-882.77743
dp (4)	-494.56819	0.17174	-494.38802
A (5)	-464.50779	0.12043	-464.38047

Table.5 (cont).

Di- nucl eotide	G (HF) Electronic + Thermal Free Energy	S Entropy (cal K <sup>-1</sup> mol <sup>-1</sup> )	$\Delta H$ stacking and $\Delta H$ glycosid ic bond
TpAp (1)	-2806.74531	194.196	∆H stacking

dpAp	-2431.33452	171.352	= -0.041
(2)			
Tp (3)	-869.80886	112.272	
dp (4)	-494.42664	84.837	$\Delta H$
			glycosidi
			с
T (5)	-451.40653	81.216	= -0.001
АрТр	-2806.75716	192.018	$\Delta H$
(1)			stacking
dpTp	-2418.35094	173.789	= -0.029
(2)			
Ap (3)	-882.83244	111.725	
dp (4)	-494.43064	85.672	$\Delta H$
			glycosidi
			c
A (5)	-464.41851	79.063	= -0.006

Table 6. The thermodynamic data for the stacking and glycosidic bond formation in the pyrimidine / purine deoxyribose dinucleotides. Energies are in hartree (1 h =627.5095 kcal.mol<sup>-1</sup>)

Di-	E (HF)	ZPE	H Electronic
nucl	Total	Zero-	+ Therm
eotide	Electronic	Point	Enthalpy.
	Energy	Energy.	
CpGp	-2823.21096	0.51577	-2822.66335
(1)			
dpGp	-2506.59777	0.44395	-2506.13587
(2)			
Cp (3)	-811.14655	0.25165	-810.88190
dp (4)	-494.56424	0.17149	-494.38426
C (5)	-392.60372	0.10600	-392.49135
GpCp	-2823.22505	0.51580	-2822.67731
(1)			
dpCp	-2359.82588	0.41433	-2359.38492
(2)			
Gp (3)	-957.92461	0.27162	-957.63851
dp (4)	-494.56963	0.17184	-494.38937
G (5)	-539.37592	0.12576	-539.24244
TpGp	-2882.09718	0.53177	-2881.53187
(1)			
dpGp	-2506.60011	0.43376	-2506.13813
(2)			
Tp (3)	-870.04400	0.26873	-869.76049
dp (4)	-494.56750	0.17176	-494.38727
T (5)	-451.49697	0.12325	-451.36610
GpTp	-2882.10900	0.53263	-2881.54303

(1) dTp	-2418.72579	0.43153	-2418.26635
$\frac{(2)}{\operatorname{Gp}(3)}$	-957.92655	0.27140	-957.64067
	0 (115)	0.27110	
DI-	G (HF)	S	ΔH
nucle	o Electronic +	Entrop	y stacking
tide	Inermal Fre	e (cal K	and $\Delta H$
	Energy	mor )	giycosidi
	2022 7522	- 400.00	
Србр	-2822.7532	5 189.22	/ ΔH
(1)	2506 2400	0 475 40	stacking
dpGp	-2506.2190	8 1/5.12	9 = -0.046
(2)		100.00	
Ср (:	3) -810.93337	108.33	3
dp (4	4)   -494.42514	86.033	ΔH
			glycosidi
			C
C (5	5) -392.52736	75.787	=- 0.005
GpCp	-2822.7673	8 189.56	4 $\Delta H$
(1)			stacking
dpCp	-2359.4656	4 169.87	7 = -0.062
(2)			
Gp (3	3) -957.69340	115.52	5
dp (4	4) -494.43015	85.823	$\Delta H$
			glycosidi
			С
G (5	6) -539.28194	83.142	= -0.006
TpGp	-2881.6255	8 197.22	1 <u>Δ</u> H
(1)			stacking
dpGp	-2506.2221	4 176.80	7 = -0.034
(2)			
Тр (3	) -869.81486	114.43	4
dp (4	) -494.42819	86.116	$\Delta H$
			glycosidi
			С
Т (5	) -451.40482	81.485	=-0.005
GpTp	-2881.6357	7 195.19	1 ΔH
(1)			stacking
dTp	-2418.3494	0   174.78	7 = -0.026
(2)			
Gp (3	3) -957.69510	114.55	4
dp (4	4) -494.42940	85.862	$\Delta H$
			glycosidi
			С
G (!	5) -539.28628	83.140	= 0.014

G (5)	-539.38024	0.12574	-539.24677

Table.6 (cont).

Also recorded are the free energy changes where the model is MP2, basis set 6-31G\* and the entropy values have been taken from the low accuracy HF data recorded in Table 3-6. These free energy values also contain the zero point energies (HF) which have been scaled and included. These values are given in Table.7

Table.7. The  $\Delta G$  values for stacking (h) and glycosidic bond formation (h) for pyrimidine and purine deoxyribose dinucleotides. T=298.15 K.

Di-nucleotide	$\Delta G$ stacking	ΔG
	_	glycosidic
ТрТр	-0.03592	-0.00290
ТрСр	-0.02574	-0.00048
ТрАр	-0.03851	-0.00371
TpGp	-0.02989	-0.00149
СрТр	-0.03006	0.00236
СрСр	-0.03359	-0.00222
CpAp	-0.03416	-0.00338
CpGp	-0.04170	-0.00098
АрТр	-0.02480	-0.00208
ApCp	-0.03437	-0.00292
ApAp	-0.02594	-0.00285
ApGp	-0.03350	-0.00017
GpTp	-0.03832	-0.00162
GpCp	-0.05737	-0.00151
GpAp	-0.02568	0.00069
GpGp	-0.05212	-0.00176

The relative stacking free energy values,  $\Delta G$ , given in Table.8, indicate that the deoxyribose dinucleotide stacking values are generally lower than, or comparable, to those for the ribose dinucleotide values, ensuring that most DNAs would be more stable with regard to stacking than most RNAs.

The sum of the free energy values for the deoxyribose dinucleotides is -0.562 h, whereas that for the ribose dinucleotides is -0.506 h

Table.8 Comparison of the  $\Delta G$  stacking Values for Ribose Dinucleotides and 2-Deoxyribose Dinucleotides

U	С	А	G

U	-0.02347	-0.02792	-0.02752	-0.03208
С	-0.02507	-0.03205	-0.03071	-0.04256
А	-0.02491	-0.03008	-0.02714	-0.02933
G	-0.03345	-0.05684	-0.02620	-0.03704
	Т	C	A	G
Т	T -0.03592	C -0.02574	A -0.03851	G -0.02989
T C	T -0.03592 -0.03007	C -0.02574 -0.03359	A -0.03851 -0.03416	G -0.02989 -0.04170

-0.02568

-0.05212

-0.05737

G

-0.03832

The values recorded are largely in-line with published stacking energies [20-22]. The stacking values for the deoxyribose nucleotide and ribose nucleotide stacking are compared to each other and the data from thermodynamic parameters for unpaired terminal nucleotides in 1 M NaCl [21], in The published data from solution Table 9. experiments has been converted to hartree and multiplied by 50 to compare to the gas phase data presented here. This is a relative study of base stacking where the where the free energy scale is anchored by the stacking energy for the uracil dimer taken to be close to zero. Experiments where the free energy change for stacking is positive require a movement of the scale which is not required here.

Table 9. A comparison of the free energy of stacking,  $\Delta G$ , for deoxyribose dinucleotide and ribose dinucleotide stacking constants at 298.15 K. Energies are in hartrees. () estimated values.

Stack	DNA	Stack	RNA(h)	RNA(h)
5' – 3'	(h)	5' – 3'		[21]
AT	-0.0248	AU	-0.0249	-0.024
GA	-0.0257	GA	-0.0262	-0.032
TC	-0.0257	UC	-0.0279	-0.008
AA	-0.0259	AA	-0.0271	-0.024
TG	-0.0299	UG	-0.0321	-0.096
СТ	-0.0301	CU	-0.0251	-0.008
AG	-0.0335	AG	-0.0293	-0.016
CC	-0.0336	CC	-0.0321	-0.024
CA	-0.0342	CA	-0.0307	-0.024
AC	-0.0344	AC	-0.0301	-0.040
TT	-0.0359	UU	-0.0235	(-0.016)
GT	-0.0383	GU	-0.0335	(-0.016)
ТА	-0.0385	UA	-0.0275	-0.016
CG	-0.0417	CG	-0.0426	-0.024
GG	-0.0521	GG	-0.0370	0.0
GC	-0.0574	GC	-0.0568	-0.112

# **3.5** The Watson-Crick Free Energy Values for Horizontal Base Pairing, Table 10.

The Watson-Crick horizontal hydrogen bonding interactions in the A-T or A-U and G-C dimers were calculated in the same manner as for the stacking interactions for charges on both nucleotides being either 0, 1, or 2, giving a total dimer charge of either 0, 2 or 4. Non Watson-Crick hydrogen bonding in solution was not considered [20].

Using this data the free energy of formation of the two hydrogen bonds for an adenine-thymine (A-T) interaction and the three hydrogen bonds of a guanine-cytosine (G-C) were calculated as shown in Table 10

Table 10. Ribose dinucleotide MP2 /6-31G\* total energies and zero point energies (hartrees) for the respective equilibrium geometries.

Molecule	MP2 hartree	ZPE hartree	S(HF) cal. mol <sup>-1</sup> K <sup>-1</sup>
Charge per Nucleotide = 0			
Ap-Up	-3002.45946	0.57386	215.500
Ар	-1527.37680	0.26649	132.293
Up	-1475.05250	0.27279	128.133
ΔH (h)	-0.02869		
$\Delta G(h)$	-0.00734		
Charge per Nucleotide = 0			
CpGp	-3057.65643	0.59223	218.281
Ср	-1455.18783	0.28503	129.422
Gp	-1602.41676	0.30506	136.111
ΔH (h)	-0.04997		
$\Delta G(h)$	-0.02752		

The value for the uncharged Watson-Crick horizontal base pairing may also be calculated from

the respective ribose dinucleotides carrying charges of -2 and -4, by compensating for the electric repulsion of the formal charges using Coulomb's Law, where,

$$\Delta H(-2) = \Delta H(0) + 332.159 Q 1.Q2 / D R$$
(2)

 $\Delta$ H(-2) is the enthalpy change for the formation of the Watson Crick hydrogen bonding when the nucleotides carry a total charge of -2, taken to be evenly distributed over the phosphate oxygen atoms that are only bonded to the phosphorus atom.  $\Delta$ H(0) is the corresponding value where the total formal charge on the two nucleotides is zero, Q1 and Q2 are the charges on the respective nucleotides in units of the electronic charge. R is the distance in Angstrom separating the charges, and D is the dielectric constant [23]. This is largely an empirical constant as the molecule is in a charged state difficult to physically replicate.

Assuming the dielectric constant is unity [23], the values obtained from  $\Delta H(-2)$  values are

```
\label{eq:dhead} \begin{array}{l} \Delta H(0) \mbox{ for } A\text{-}T = -0.02693 \mbox{ h} \\ \Delta H(0) \mbox{ for } C\text{-}G = -0.04559 \mbox{ h} \end{array}
```

The data for the corresponding deoxyribose Watson-Crick interactions were the same as for the ribose dinucleotides within the achievable accuracy.

Although the A-T interaction is regarded as very weak in a double helix [24], it is expected that the bases would substantially stack before completely hydrogen bonding, so that the entropy change for free nucleotides would be less than that actually involved in the hydrogen bonding. For this reason the A-T hydrogen bonding value was taken as an adjustable parameter and increased by 10% to improve correlation with experimental results. The values used in the calculations are as shown in Table.11.

Table 11. Thermodynamic data for Watson-Crick Hydrogen Bonding Interactions in the gas phase (h). T=298.15 K.

Hydrogen Bonding Interaction	ΔH (h)	ΔG (h)	ΔG (h) adjusted value
A-U	-0.028689	-0.00734	-0.031699
G-C	-0.049973	-0.02752	-0.049973

The results should be divided by 50 to be realistic for aqueous solutions [25-26].

A comparison with a literature values is given in Table.12. Here the literature value (calculated) in kcal. mol<sup>-1</sup> has been converted to hartree.

Table 12. Thermodynamic data for Watson-Crick Hydrogen Bonding Interactions in the gas phase (h). T=298.15 K.

Base	DNA or RNA	Ref. [10] (h)
Pair	(h)	Converted
AT	-0.031699	-0.0188
GC	-0.049973	-0.0379

Clearly the interaction of mononucleotides to form horizontal Watson-Crick base pairing could occur in aqueous solution under conditions of varying ionic strength irrespective of base stacking. Also, the thermodynamic data indicate that all the bases may interact with each other in aqueous solution to form stacks irrespective of the Watson-Crick horizontal hydrogen bonding.

# **3.6** The Non Watson-Crick Free Energy Values for Horizontal Base Pairing, Table 13 [27].

In-plane Non Watson Crick interactions have been calculated to be appreciable for the eight interactions, A-G, U-C, U-U, C-C, A-C, U-G, G-G, and A-A [11,27]. The method described in this paper was used to verify the strength of the U-G interaction as shown in Fig.5. The data is shown in Table 13.



Fig.5 The optimized structure of the in-plane hydrogen bonded guanine-uracil complex.

Table 13. MP2 /6-31G\* total energies and zero point energies (hartrees) for the respective equilibrium geometries.

Molecul e	MP2 hartree	ZPE hartree	S(HF) cal.mol <sup>-</sup> <sup>1</sup> K <sup>-1</sup>
G-U	-954.65799	0.218750	113.388
G	-540.94188	0.126508	81.913
U	-413.61079	0.09551	78.466
ΔH (h)	-0.10823		
$\Delta G(h)$	-0.08590		

The G-U interaction is shown to be very competitive with the G-C and A-T or A-U interactions.

## **3.7** The Watson-Crick Base Pairing in the Ten Anti-parallel Dublet Duplexes

When only Watson-Crick base pairing is considered, the free energy values for stacking and hydrogen bonding may be combined to predict the stability of the ten anti-parallel dublet duplexes, with the values shown in Table 14.

Table 14. Free energy(h) changes calculated for the ten antiparallel deoxyribose dublet duplexes. T=298.15K.

Duplex	$\Delta G(h)$	$\Delta G(h)$	$\Delta G(h)$
5'-3'/	DNA/DNA	DNA/RNA	RNA/RNA
3'-5'			
AT/TA	-0.11300	-0.113106	-0.113212
TT/AA	-0.12525	-0.126455	-0.114007
AA/11		-0.112800	
TC/AG	-0.13309	-0.133613	-0.135795
GA/CI		-0.140239	
TA/AT	-0.14042	-0.129429	-0.118435
CT/GA AG/TC	-0.14524	-0.141073	-0.136070

		-0.140239	
CA/GT TG/AC	-0.14572	-0.147192	-0.144461
10,110		-0.142273	
AC/TG GT/CA	-0.15436	-0.149492	-0.145210
01/en		-0.150070	
CG/GC	-0.18336	-0.184206	-0.185058
CC/GG GG/CC	-0.18565	-0.170568	-0.169034
00/00		-0.184113	
GC/CG	-0.21469	-0.214153	-0.213617

Whilst a dinucleotide stack may try to hybridize with any other dinucleotide stack in an anti-parallel duplex, these free energy values predict that the correct Watson-Crick duplexes will be the most preferred energetically, in every case. This sequence of free energy values is in general correlation with experimental determinations [26]. Table 15 compares the values to literature values [21], where the literature values are converted to hartree and the gas phase (x50).

Table 15. Free energy(h) changes calculated for the ten antiparallel deoxyribose nucleotide dublet duplexes. T=298.15K

Duplex	DNA/	Stack	RNA/	Ref.
5' – 3'/	DNA	5' – 3'/	RNA	[21]
3' - 5'	(h)	3' - 5'	(h)	RNA/
				RNA
				(h)
AT/TA	-0.1130	AU/UA	-0.1132	-0.072
AA/TT	-0.1253	AA/UU	-0.1141	-0.072
GA/CT	-0.1331	GA/CU	-0.1358	-0.183
TA/AT	-0.1440	UA/AU	-0.1184	-0.088
CT/GA	-0.1452	CU/GA	-0.1361	-0.136
CA/GT	-0.1457	CA/GU	-0.1445	-0.143
GT/CA	-0.1544	GU/CA	-0.1452	-0.167
CG/GC	-0.1834	CG/GC	-0.1851	-0.159
GG/CC	-0.1857	GG/CC	-0.1690	-0.231
GC/CG	-0.2147	GC/CG	-0.2136	-0.271

3.8	The	Watson-Crick	Base	Pairing	in	the
Anti	-para	llel Triplet Du	plexes	[27]		

If in prebiotic transcription the bases stacked to form triplets the strength of the interaction in forming antiparallel duplexes can also be estimated. Here, cross-terms between the stacks are only approximated in the nearest neighbor approximation [27].

When only Watson-Crick base pairing is considered, the free energy values for stacking and hydrogen bonding may be combined to predict the stability of the anti-parallel triplet duplexes, with the values shown in Table 16.

Table 16. Free energy(h) changes calculated for the antiparallel deoxyribose triplet duplexes with the most stable to the left. T=298.15K.

Duplex	$\Delta G(h)$	Duplex	$\Delta G(h)$
5'-3'/	DNA/DNA	5'-3'/	DNA/DNA
3'-5'		3'-5'	
TTT/AAA	-0.21880	TTT/ACA	-0.11968
TTC/AAG	-0.226643	TTC/ACG	-0.234861
TTA/AAT	-0.233972	TTA/ATT	-0.212254
TTG/AAC	-0.239273	TTG/ATC	-0.216060
TCT/AGA	-0.228362	TCT/CGA	-0.228356
TCC/AAG	-0.268767	TCC/CGG	-0.268761
TCA/CGT	-0.228937	TCA/AGT	-0.228843
TCG/AGC	-0.266475	TCG/CGC	-0.266469
ΤΑΤ/ΑΤΑ	-0.221722	TAT/ATG	-0 203540
TAC/ATG	-0.263085		-0 246087
	0.233072		0.204675
	0.252065		0.221421
	-0.255905		-0.221451
	-0.250112		-0.241412
TGC/ACG	-0.310439	TGC/GCG	-0.286286
TGA/ACT	-0.228843	TGA/ACG	-0.228774
TGG/ACC	-0.281397	TGG/GCC	-0.257244

CTT/GAA	-0 238792	CTT/GGA	-0 233273
CTC/GGG	-0.259987	CTC/GCG	-0.254832
		CTC/GAG	-0.246636
CTA/GAT	-0.253965	CTA/GGT	-0.232259
CTG/GAC	-0.259266	CTG/GGC	-0.253726
CCT/GGA	-0.280916	CCT/GGG	-0.267830
CCC/GGG	-0.321321	CCC/CGG	-0.276640
CCA/GGT	-0.281397	CCA/GGG	-0.271921
CCG/GGC	-0.319029	CCG/GGG	-0.279467
CAT/GTA	-0.227024	CAT/GGA	-0.226249
CAC/GGG	-0.272708	CAC/GTG	-0.268386
CAA/GTT	-0.239273	CAA/GGT	-0.223774
CAG/GGC	-0.261426	CAG/GTC	-0.259266
CGT/GCA	-0.287744	CGT/GCG	-0.279044
CGC/GCG	-0.34807	CGC/GGG	-0.303252
CGA/GCT	-0.266475	CGA/GCG	-0.266405
CGG/GCC	-0.319029	CGG/GCG	-0.292842
ATT/TAA	-0.206549	ATT/TGA	-0.195934
ATC/TGG	-0.222648	ATC/TCG	-0.219654
		ATC/TAG	-0.214393
ATA/TAT	-0.221722	ATA/CAT	-0.199596
ATG/TAC	-0.227024	ATG/TGC	-0.216387
ACT/TGA	-0.249631	ACT/CGA	-0.236387
ACC/TGG	-0.290036	ACC/CGG	-0.277391
ACA/TGT	-0.250112	ACA/TGG	-0.240636
ACG/TGC	-0.287744	ACG/CGC	-0.275099
AAT/TTA	-0.206549	AAT/TTG	-0.188367

AAC/TTG	-0.247912	AAC/TGG	-0.232413
AAA/TTT	-0.218799	AAA/ATT	-0.189696
AAG/TTC	-0.238792	AAG/TGC	-0.221131
AGT/TCA	-0.249631	AGT/TCG	-0.240931
AGC/TCG	-0.309958	AGC/GCG	-0.289895
AGA/TCT	-0.228362	AGA/TCG	-0.228292
AGG/TCC	-0.280916	AGG/GCC	-0.260853
GTT/CAA	-0.247912	GTT/CGA	-0.246779
GTC/CGG	-0.273492	GTC/CAG	-0.255756
GTA/CAT	-0.263085	GTA/CGT	-0.245765
GTG/CAC	-0.268386	GTG/CGC	-0.267232
GCC/CGG	-0.350363	GCC/GGG	-0.295134
GCA/CGT	-0.310439	GCA/CGG	-0.300963
GCG/CGC	-0.348071	GCG/CGG	-0.308509
GAT/CGA	-0.223025	GAT/CTA	-0.214393
GAC/CGG	-0.269485	GAC/CTG	-0.255756
GAA/CTT	-0.226643	GAA/CGT	-0.220550
GAG/CGC	-0.258203	GAG/CTC	-0.246636
GGT/CCA	-0.290036	GGT/CCG	-0.281336
GGC/CCG	-0.350363	GGC/CGG	-0.318920
GGA/CCT	-0.268767	GGA/CCG	-0.268697
GGG/CCC	-0.321321	GGG/CGC	-0.303252

The data in Table 16 indicate that in about 87.5 % of cases the Watson-Crick hydrogen bonding is the most probable, with it being about the second most favourable after that. Approximately the same percentages apply to the DNA-RNA translation and the RNA-RNA hybridization with this data.

### **3.9** Point Mutations in Transcription.

In the formation of prebiotic triplet duplexes, the stable hexa nucleotide complexes are shown to occasionally incorporate non Watson-Crick horizontal hydrogen bonding interactions.

For instance the data in Table 16 indicate that the antiparallel triplet duplex, CTC/GGG, with a free energy of -0.259987, is more stable than the counterpart, CTC/GAG, with free energy of -0.246636, and contains the non Watson-Crick interaction, T - G.

Similarly, the antiparallel triplet duplex, CAC/GGG, with a free energy of -0.272708, is more stable than the counterpart, CAC/GTG, with free energy change of -0.268386, and contains the non Watson-Crick interaction, A - G.

It is postulated that the T - G interaction is weaker than the A – G interaction [27]. Also, the A – G interaction is stronger than the dominant G – C interaction [27]. Thus, the mechanism for this mutation is indicated as,

С		G		С
Т	$\rightarrow$	G	$\rightarrow$	А
С		G		С

Clearly this replacement can also occur by the two mononucleotides hydrogen bonding, in singlets and also in duplet duplexes.

This codon change leads to similar interactions in the DNA/RNA triplet complexes, and eventually the RNA coding for the change of glutamate to valine, responsible for sickle cell anaemia [19,28]. Although the data is not established as robust, it does appear from these results that the condition also has a thermodynamic basis.

In general both polynucleotide strands of the duplex may be translated with different free energy changes, with one translation being preferred.

# **3.10** The Watson-Crick base pairing in the ribose nucleotide / ribose nucleotide anti-parallel triplet duplexes (Hybridization)

This sort of pairing occurs in the translation of mRNA into protein mediated by the pairing of mRNA and tRNA in the ribosome [29]. This occurs in an anti-parallel hybridization where stacking may be involved [30] to some extent. The standard genetic code allows for some degeneracy of the triplets that code for a particular amino acid in a particular species [19]. In this calculation the free energy values for the formation of the antiparallel triplet stacks for a particular amino acid were averaged, as shown in Table 17.

Table 17. Free energy(h) values for RNA-RNA hybridization in anti-parallel triplet stacks. T=298.15 K.

Amino	Codons	$\Delta G$ triplet anti-
Acid		parallel duplex
i-Leu /I	AUU AUC AUA	-0.20426
Phe /F	UUU UUC	-0.20721
Lys /K	AAA AAG	-0.20735
Asn /N	AAU AAC	-0.21154
Tyr /Y	UAU UAC	-0.21594
STOP	UAA UAG UGA	-0.21794
Met /M	AUG	-0.22598
Leu /L	UUA UUG	-0.22628
	CUU CUC CUA	
	CUG	
Glu /E	GAA GAG	-0.22913
Asp /D	GAU GAC	-0.23330
Gln /Q	CAA CAG	-0.23780
Val /V	GUU GUC GUA	-0.24168
	GUG	
His /H	CAU CAC	-0.24197
Ser /S	UCU UCC UCA	-0.24448
	UCG	
Thr /T	ACU ACC ACA	-0.25388
	ACG	
Trp /W	UGG	-0.26352
Cys /C	UGU UGC	-0.27390
Pro /P	CCU CCC CCA	-0.27772
	CCG	
Gly/G	GGU GGC GGA	-0.28497
	GGG	
Arg/R	CGU CGC CGA	-0.30100
	CGG	
Ala /A	GCU GCC GCA	-0.32230
	GCG	

The considerable achievement of the standard genetic code is to use the whole spread of accessible free energy values whilst maintaining the high degree of specificity of the Watson-Crick base pairs as being the preferred hybridization. Clearly steric factors may greatly improve the actual pairing, but there is always the possibility of error, especially if the temperature rises.

#### 4. Conclusion

1.The results are broadly in agreement with literature gradations [11,20-22], and experimental studies [7], except that the GpCp and CpGp interactions are large causing greater stacking for the group pyrimidine-purine dinucleotides than for the group purine-purine dinucleotides.

2. The sum of the free energy changes for the formation of the Watson-Crick hydrogen bonding interactions (A+T) is less than for (G+C) ensuring a ratio of (A+T)/(G+C) of < 1.0 in oligomers.

3. The di-deoxynucleotides free energies of stacking are lower or comparable in energy to that of the corresponding ribose dinucleotides rendering DNA stacking more stable than in RNA.

4.Both the codons and anticodons may be naturally translated as the free energy change to form the complimentary strand (Watson-Crick hydrogen bonding + stacking interaction) is negative.

5. There is a potential for an error in translation of the codons if the free energy change for the stacking of an added base is more negative than for the sum of the (Watson Crick hydrogen bonding interaction + the stacking free energy) for the correct complimentary added base, unless steric effects are dominant.

The separation of values appears sufficient for them to have possibly influenced the formation of the first genes.

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